

Monitoring Land-Atmosphere CO₂ Exchange: The SMAP Level 4 Carbon (L4C) Product

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Water & Ecological Strategy

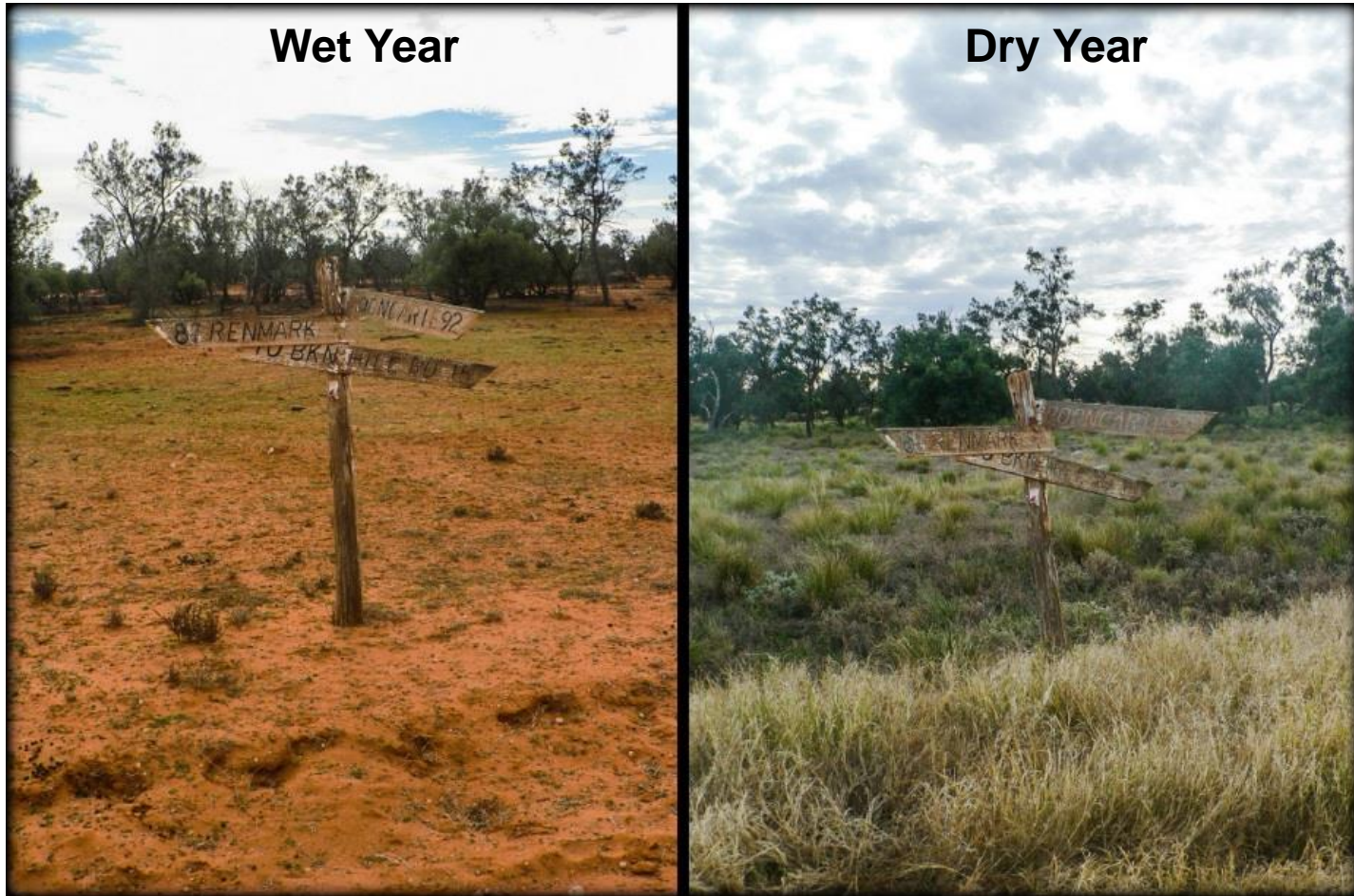
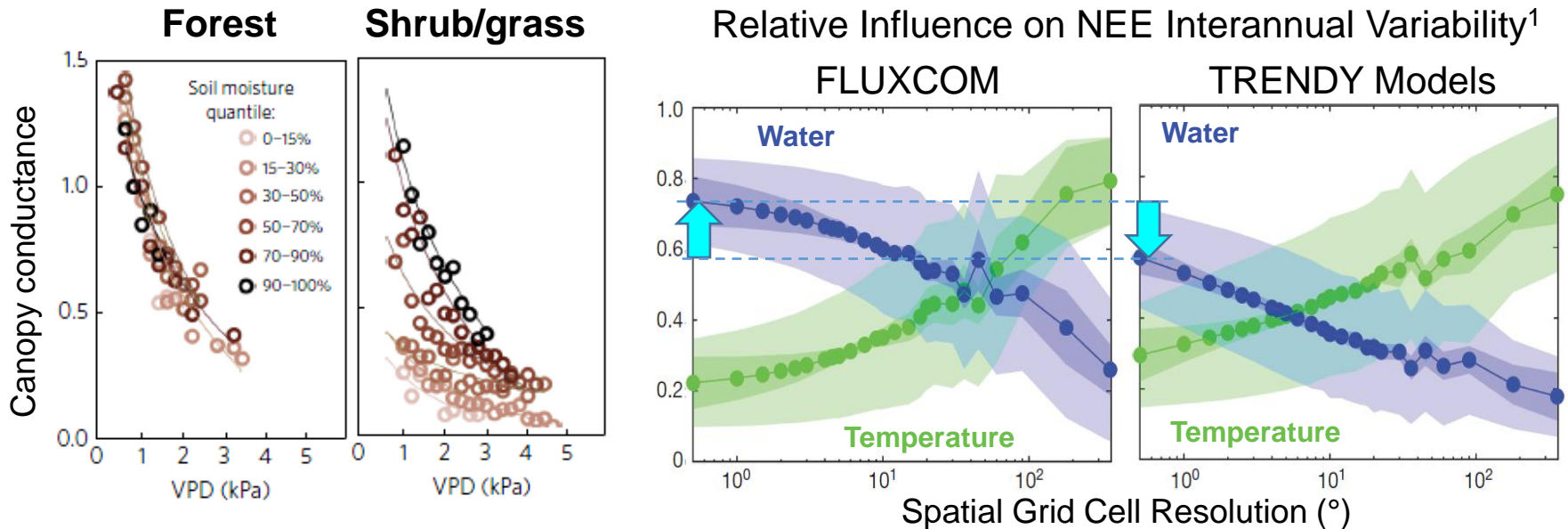


Photo Credit: Samantha Travers <https://ianluntecology.com/2014/12/21/repeat-photo-gallery>

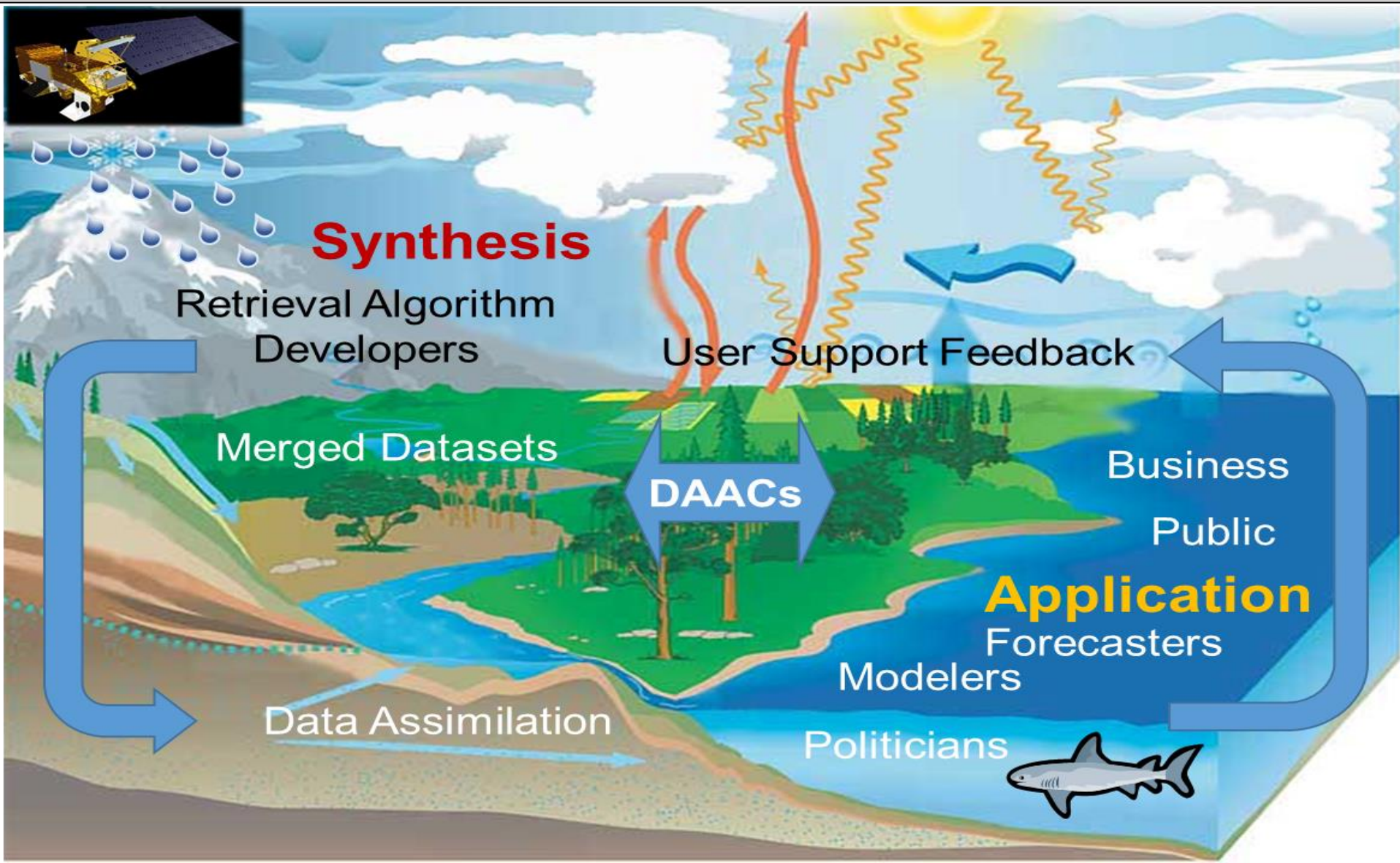
Role of Soil Moisture from Ecosystem to Global Scale



- Plant production (GPP) and ecosystem respiration respond differently to water availability.¹
- Soil moisture and VPD have differing influences on GPP drought response.²
- Water availability controls inter-annual variability in land-atmosphere CO₂ exchange.³

¹Jung, *Nature* 2017; ²Novick, *Nature Clim. Change* 2016; ³Poulter *Nature* 2014

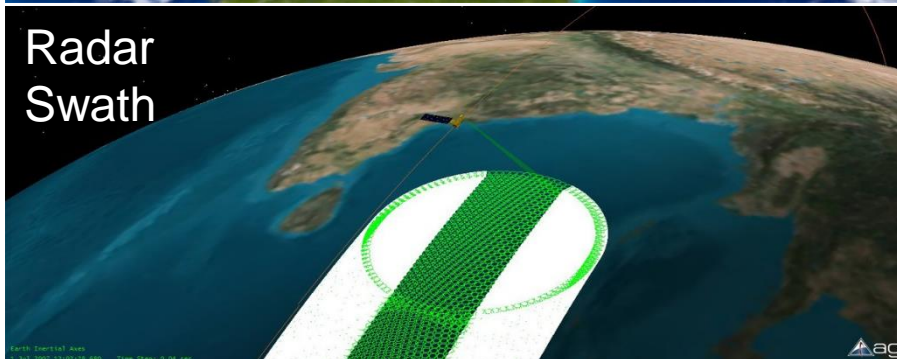
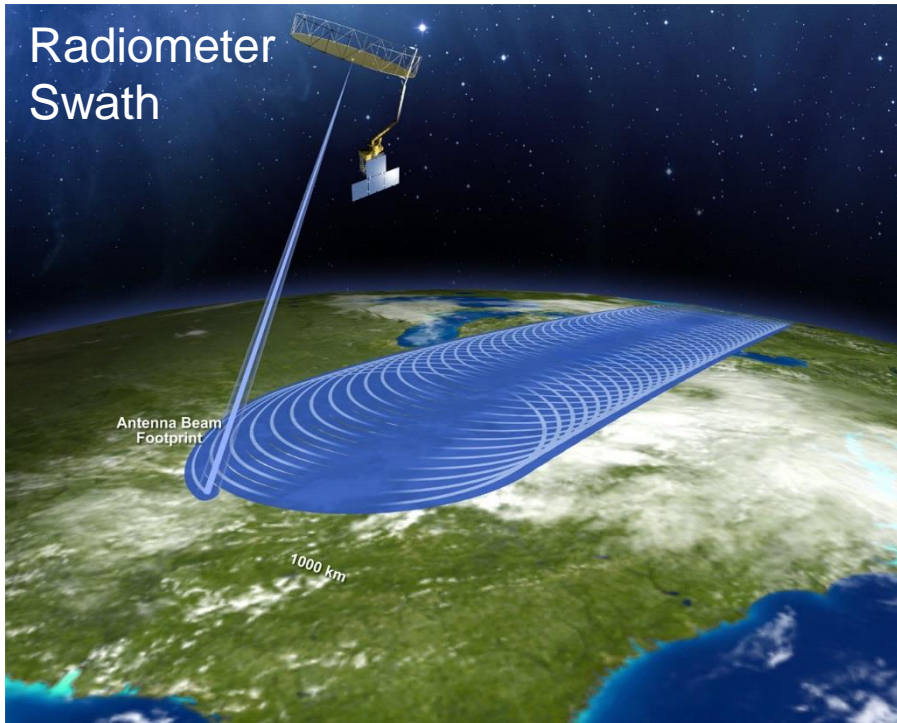
The Data Cycle



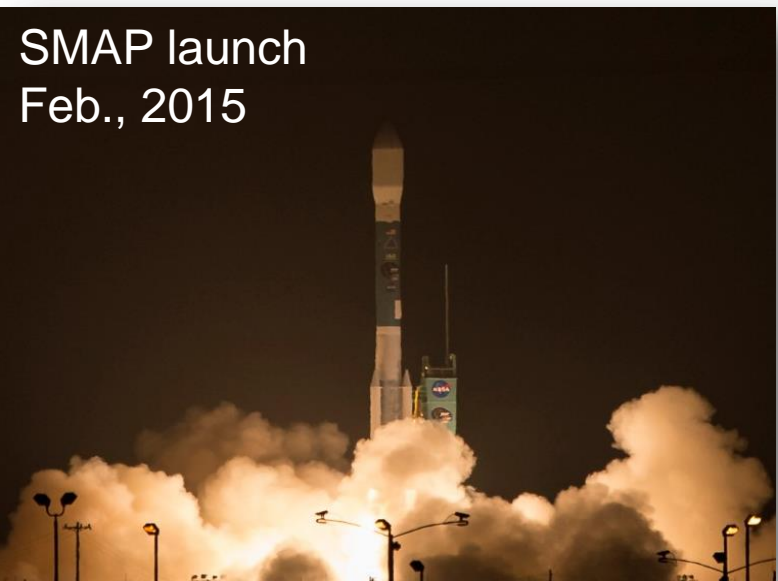
Research Questions

- Does soil moisture improve skill for estimating net ecosystem CO₂ exchange (NEE)?
- What is the incremental value of using SMAP information for estimating NEE?
- What can these estimates tell us about NEE response to recent soil moisture variability?

Soil Moisture Active-Passive (SMAP) Mission



- L-band Radiometer (Passive, 1.41 GHz) and Radar (Active, 1.26 GHz)
- Radiometer 39 km x 47 km
- Radar 1-3 km footprint
- 6 meter antennae
- Global coverage every 3 days, twice daily > 50° N/S latitude, 6am/6pm

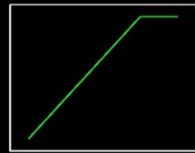
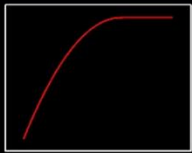
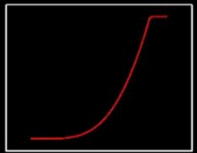


SMAP Level 4 Carbon (L4C) Model in a Nutshell

Soil Respiration

SMAP L4_SM

Soil Temperature Surface Soil Moisture Root Zone Soil Moisture

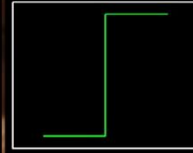
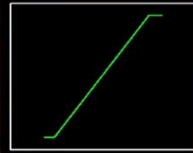
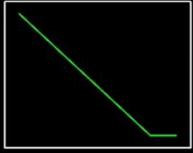


$$k_{\max} * EC(t)_{RHET} * SOC(t)$$

Gross Primary Productivity

Weather Model (GEOS-5)

Vapor Pressure Deficit Minimum Daily Air Temperature Freeze-Thaw Status PAR



MODIS/VIIRS

Fraction of Absorbed PAR

$$\epsilon_{\max} * EC(t)_{GPP} * Par * Fpar$$

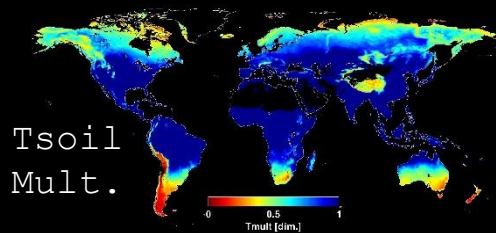
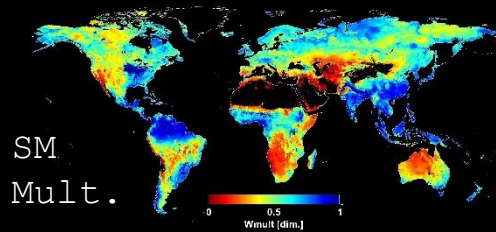
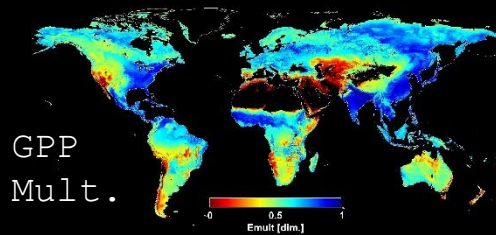
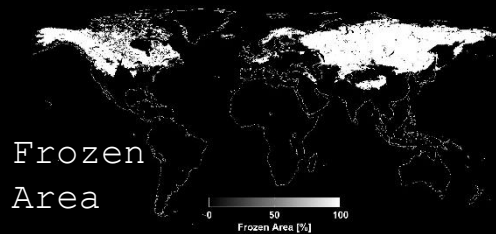
$$NEE(t) = \frac{dSOC}{dt} = RHET(t) + (fr_{aut} - 1) * GPP(t)$$



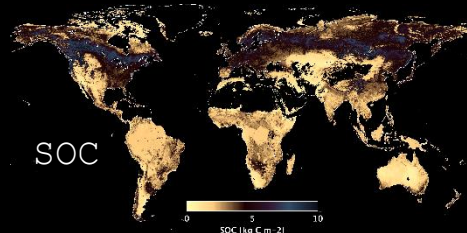
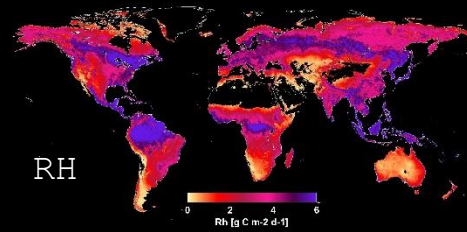
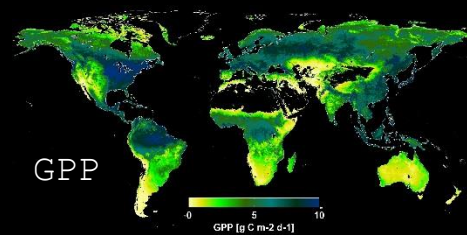
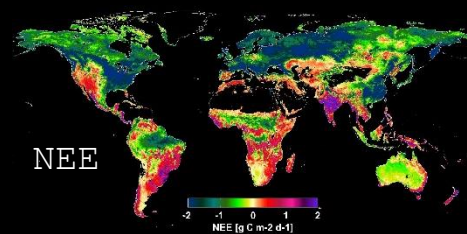
- Global daily 1-km processing, posted to 9-km Equal Area Scalable Earth (EASEv2) grid summarized by Plant Functional Type (PFT).

L4C Data Products

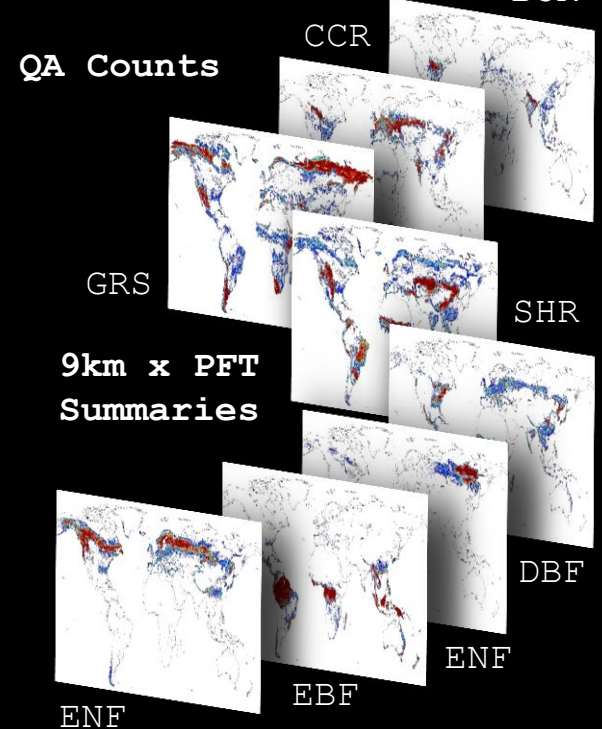
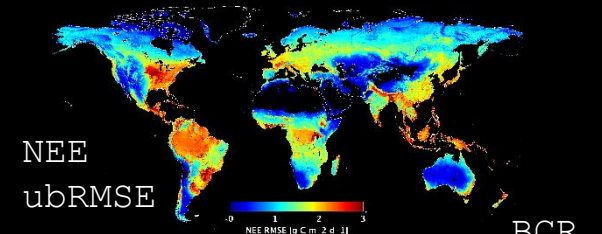
EC GROUP



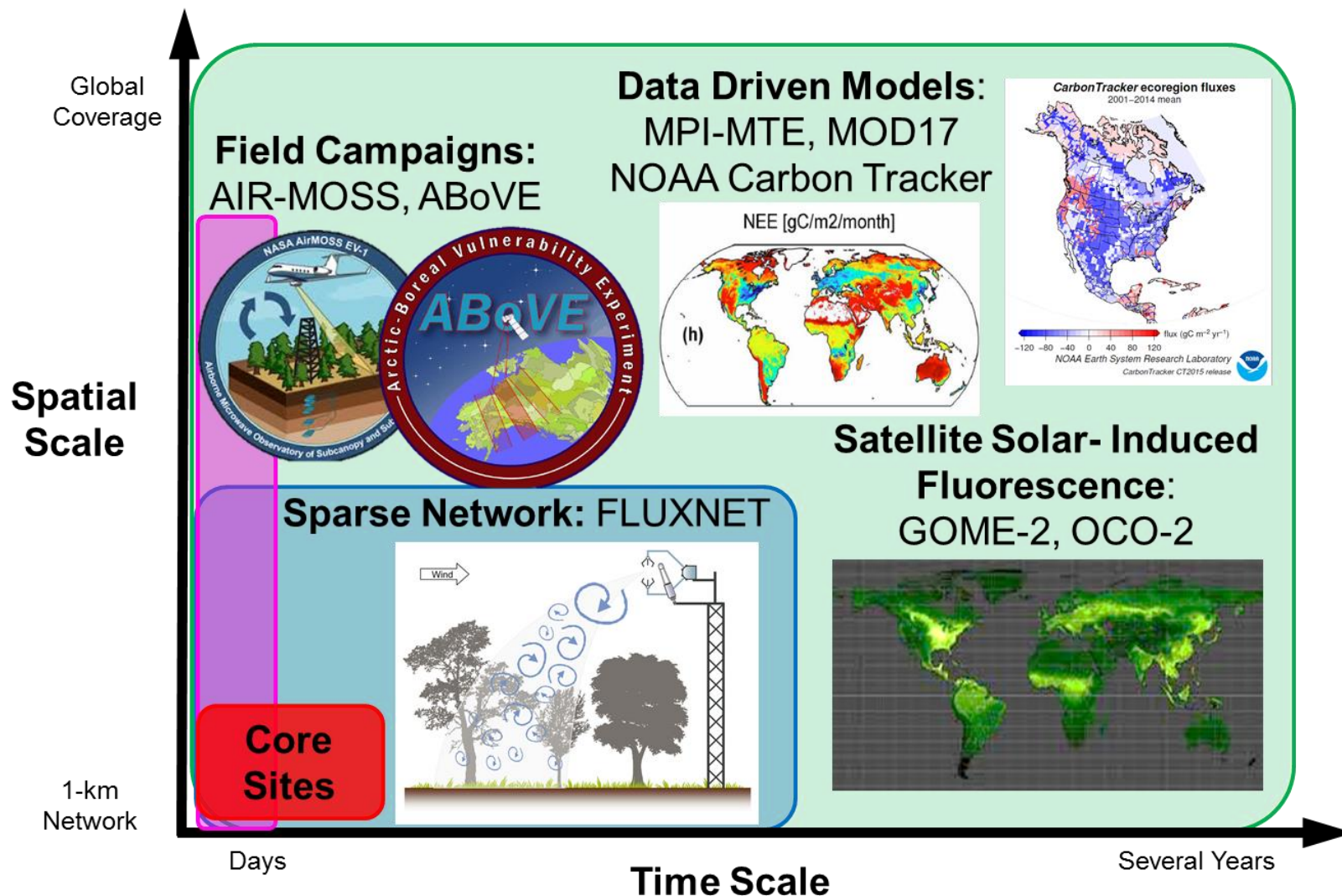
STATE GROUP



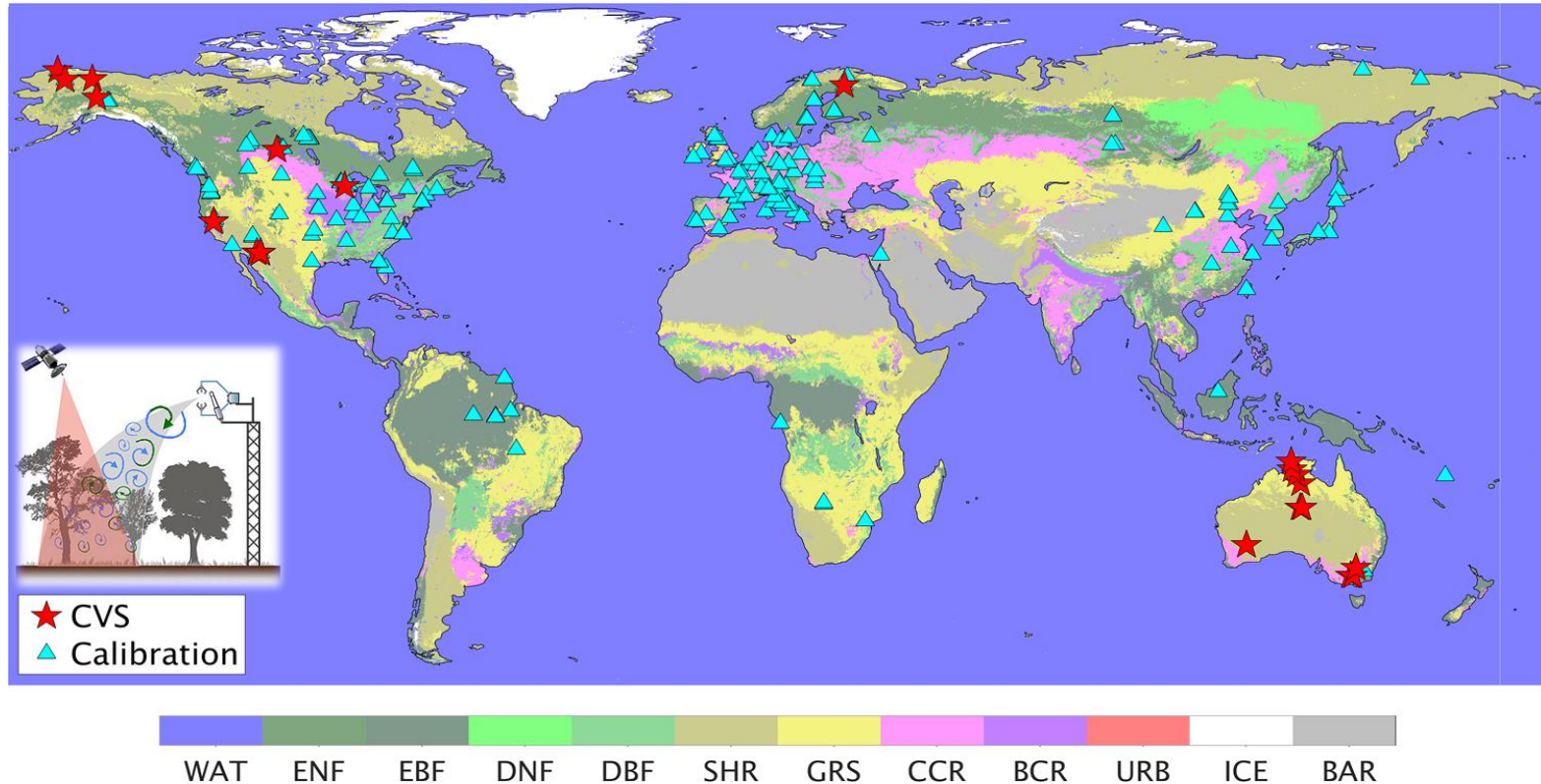
QA GROUP



Multi-tier Validation

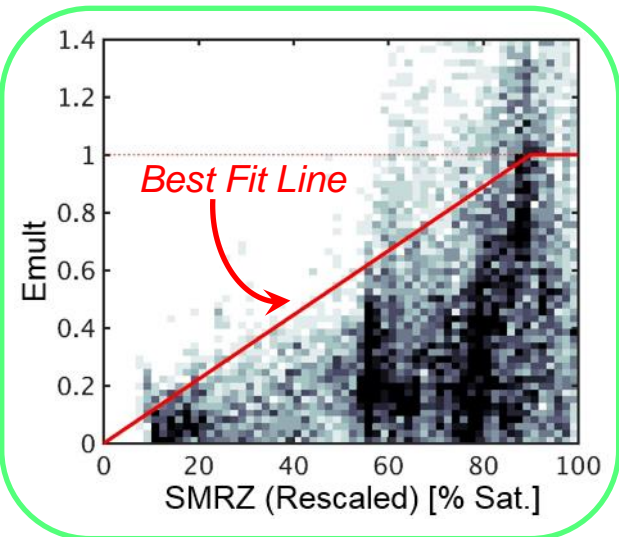
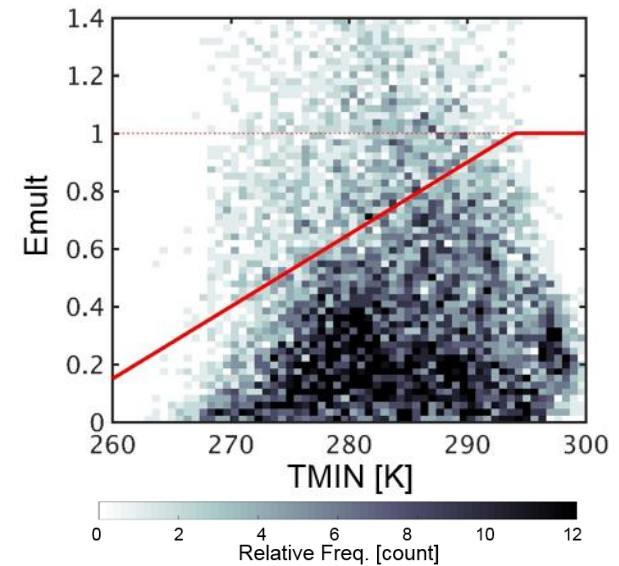
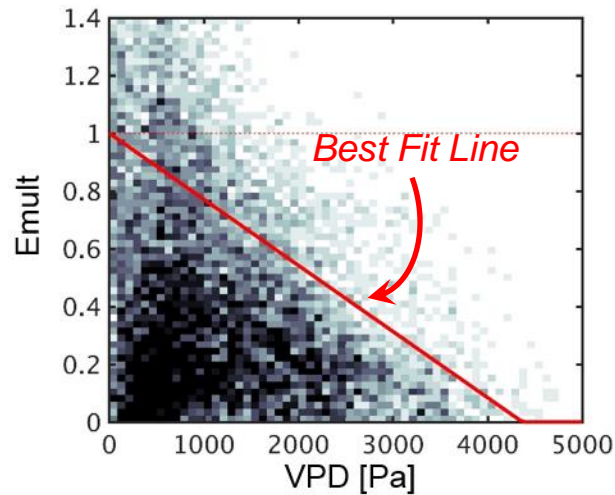
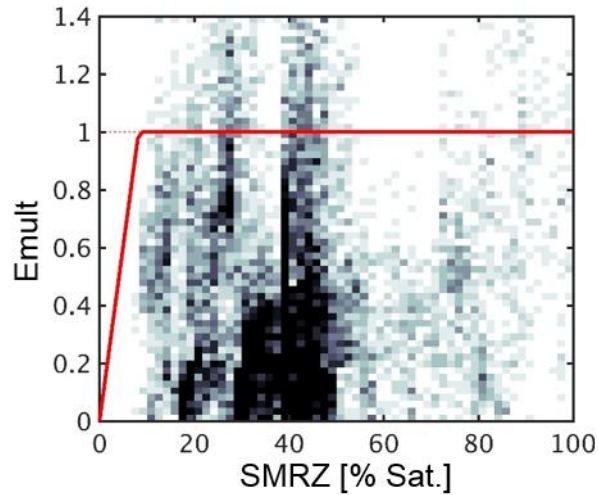


Flux Tower Calibration & Validation Network

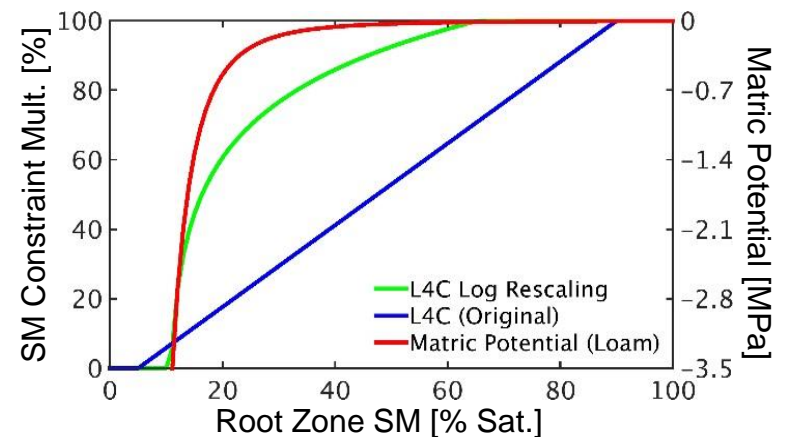


- **Core Validation Sites (CVS):** 26 locations, 21 unique grid cells, provide recent flux tower data for SMAP mission period (March 31, 2015-present).
- **Calibration Sites:** 228 locations, FLUXNET La Thuile Database, historic fluxes for SMAP Nature Run period (2001-2007).

GPP Calibration (Shrubland Example)

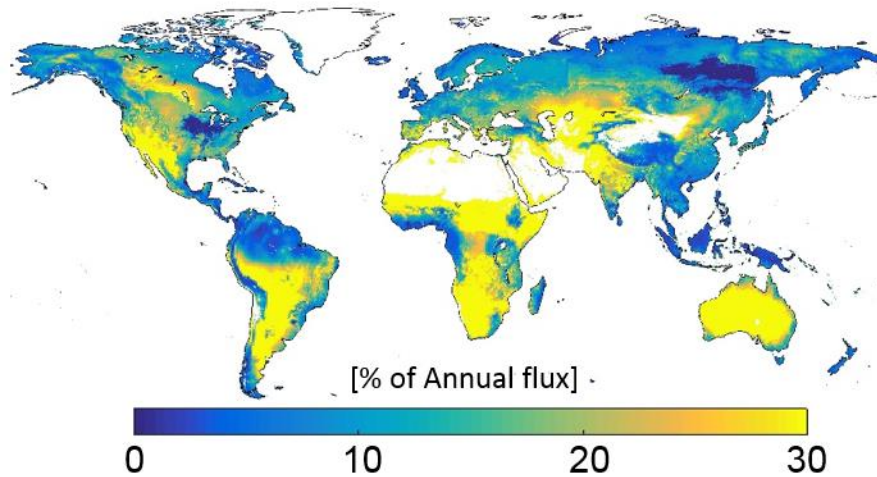


$$Emult = \frac{GPP}{\epsilon_{max} * APAR}$$

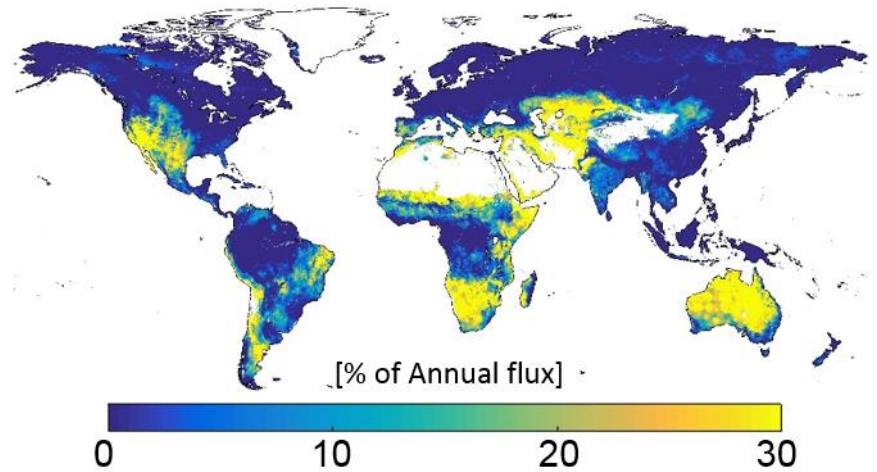


Soil Moisture Constraint Exclusion Experiment

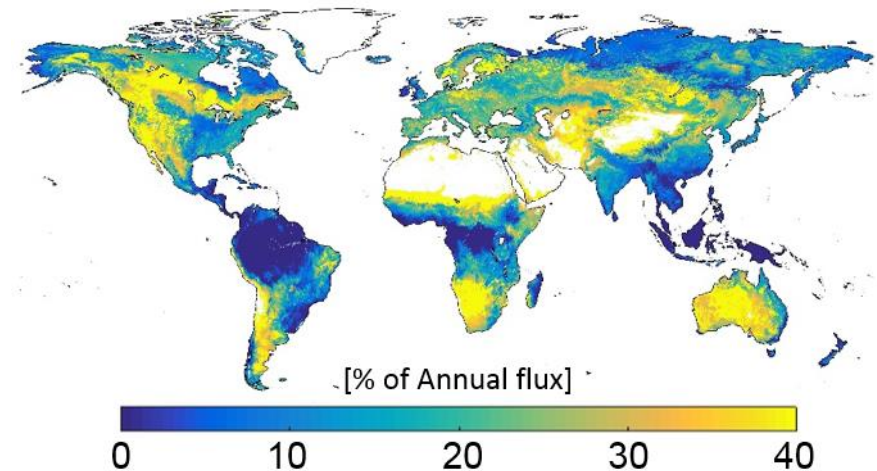
Vapor Pressure Deficit → GPP



Root Zone Soil Moisture → GPP



Surface Soil Moisture → Soil Resp.



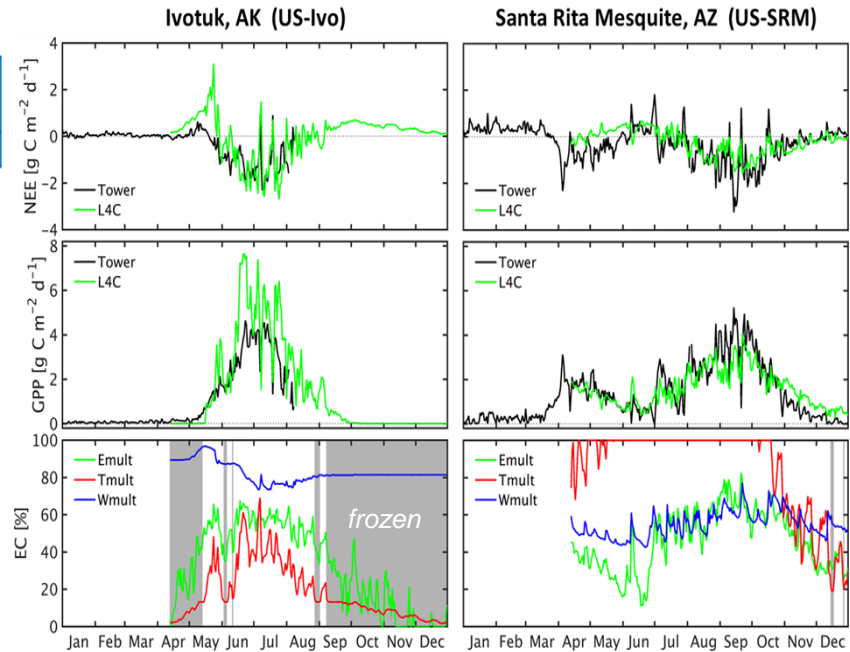
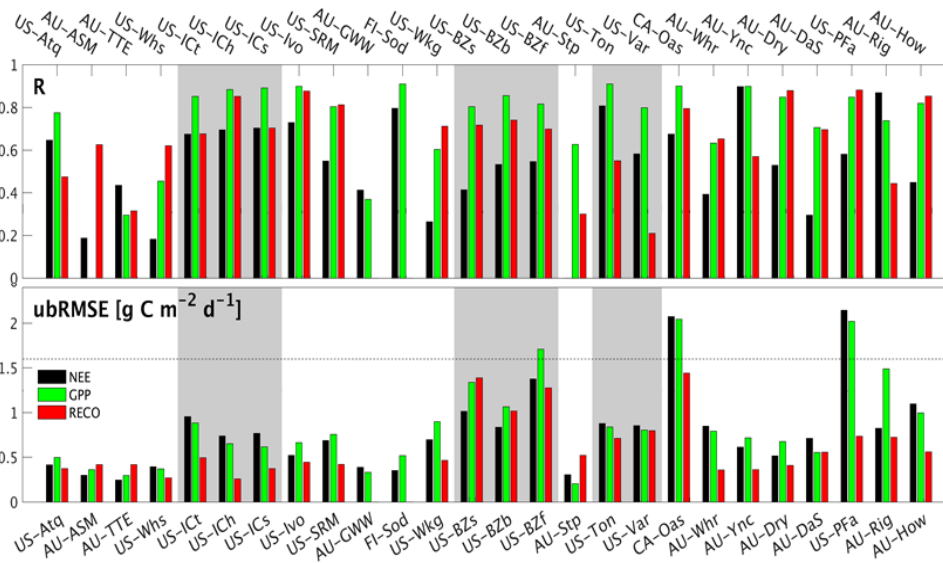
- Soil moisture affects GPP in arid shrub/grass regions.
- VPD influence extends to most forests.
- Soil moisture has a widespread impact on soil respiration.

Flux Tower Validation Results

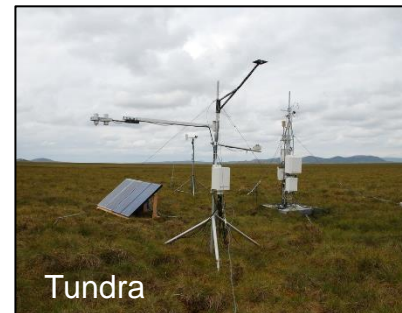
Overall:

NEE [$\text{g C m}^{-2} \text{ d}^{-1}$]				GPP [$\text{g C m}^{-2} \text{ d}^{-1}$]				R_{eco} [$\text{g C m}^{-2} \text{ d}^{-1}$]			
R	RMSE	ubRMSE	N	R	RMSE	ubRMSE	N	R	RMSE	ubRMSE	N
0.52	1.04	0.79	26	0.72	1.27	0.85	26	0.65	1.16	0.62	24

Individual Sites:

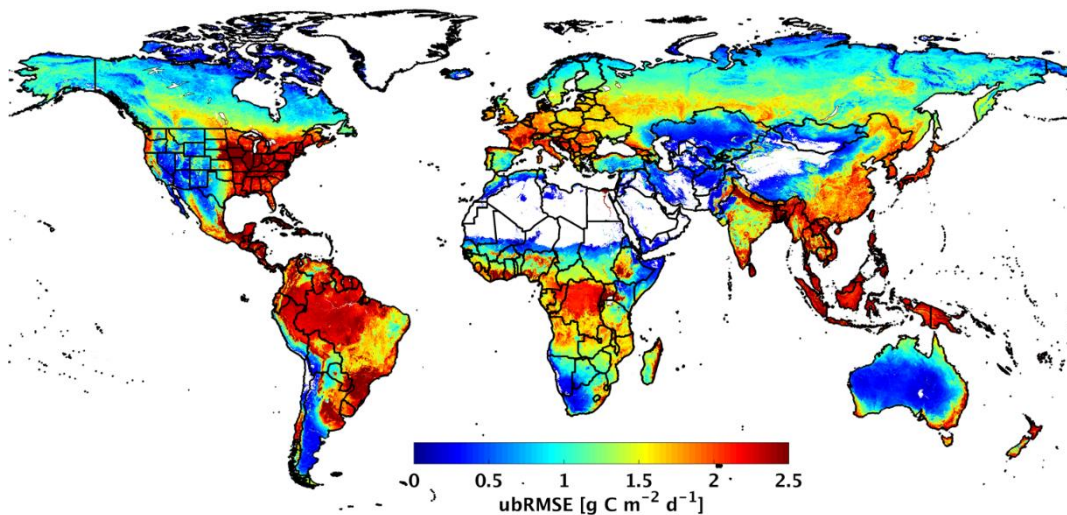


Desert, Tundra → Increasing Flux | $GPP + RECO$ | → Forest, Savanna

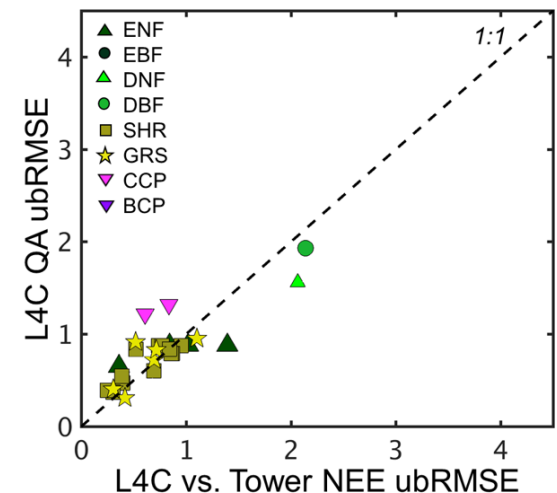
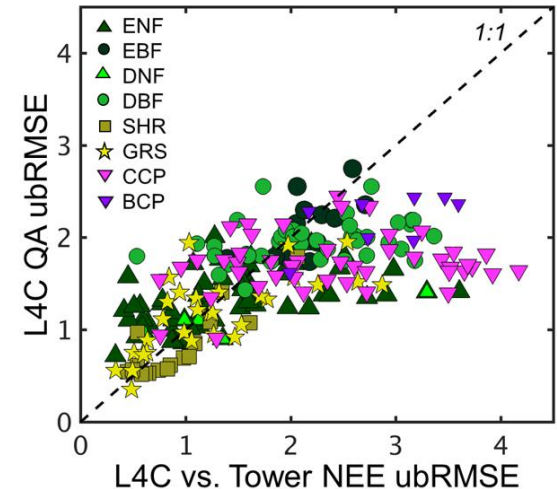


Uncertainty Estimates & Quality Assessment

Estimated Daily Average NEE RMSE [$\text{g C m}^{-2} \text{d}^{-1}$]



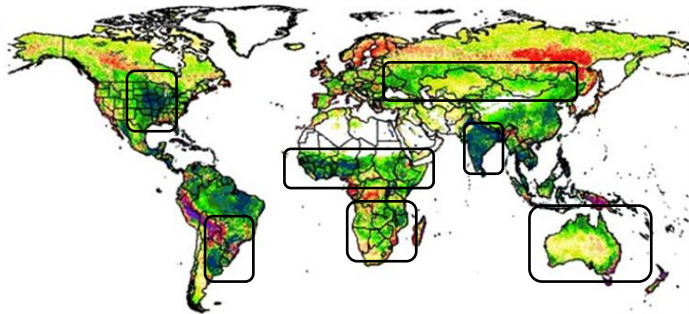
- Error propagated using input error and L4C model derivatives.
- Scales proportionally with annual flux and biomass.
- Fit plateaus for $\text{RMSE} > 1.5 \text{ g C m}^{-2} \text{d}^{-1}$ (Cropland & Forest).



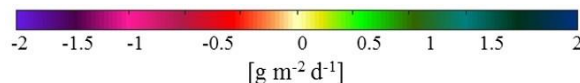
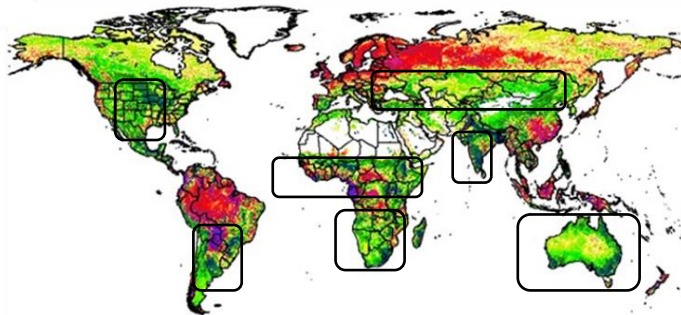
GPP Comparison with MOD17 & GOME-2 SIF

L4C Increases Seasonal Cycle Amplitude

L4C minus MOD17



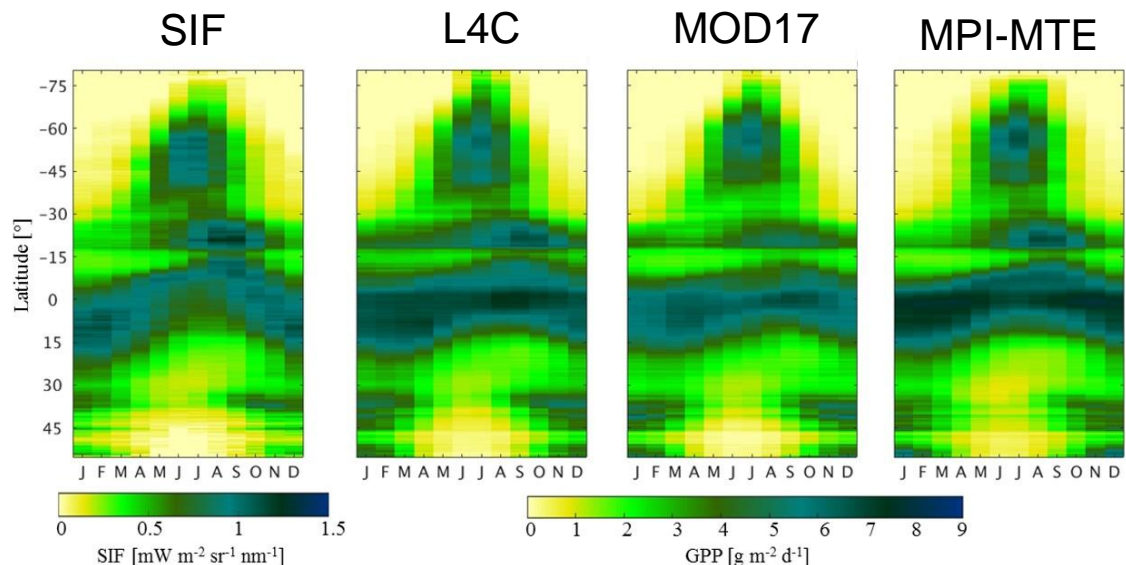
L4C minus MPI-MTE



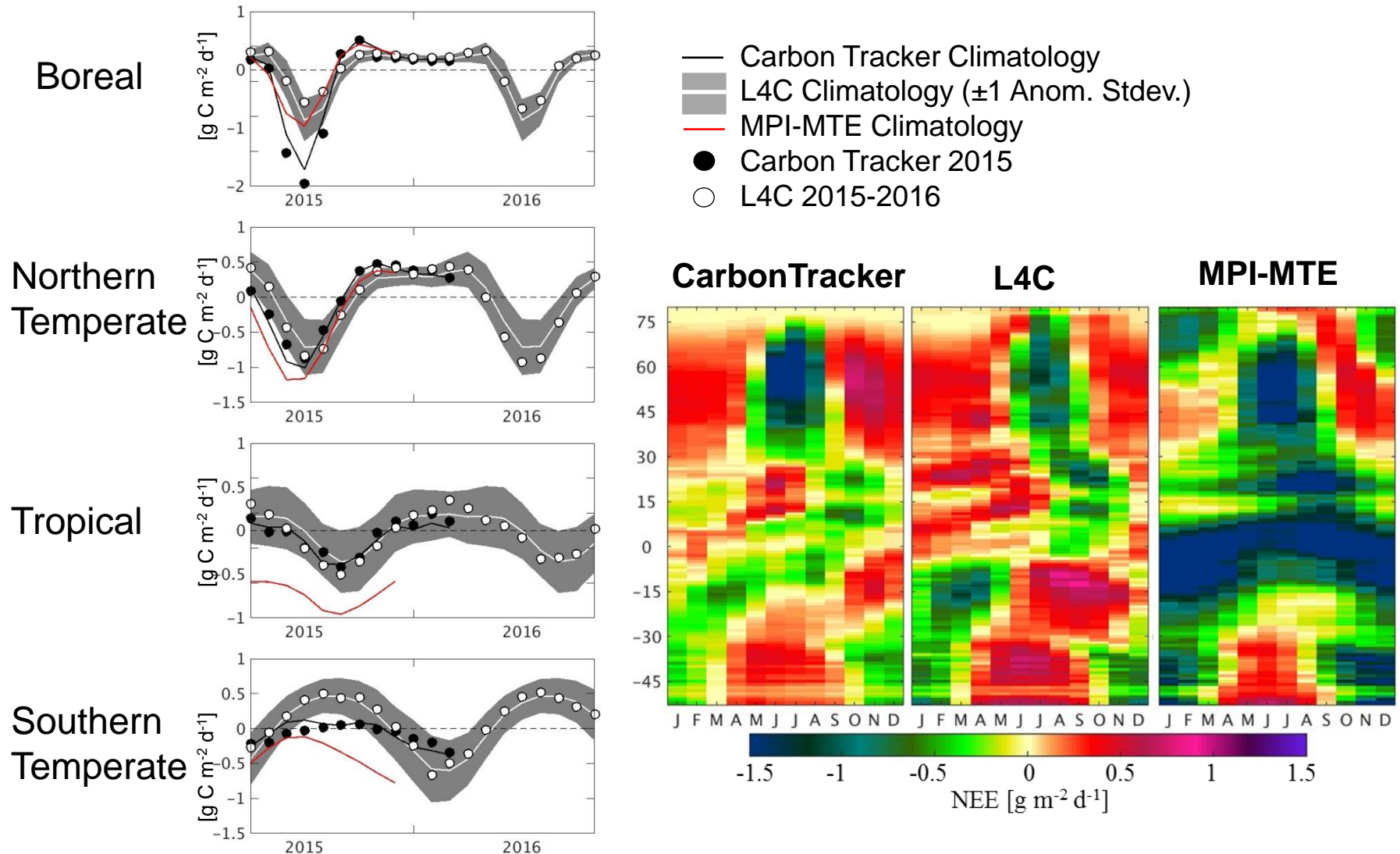
Monthly Correlation Summary for CVS Flux Towers.

	Site	SIF	L4C
SIF	0.63		
L4C	0.85	0.73	
MOD17	0.81	0.63	0.85

Mean Seasonal Cycle by Latitude

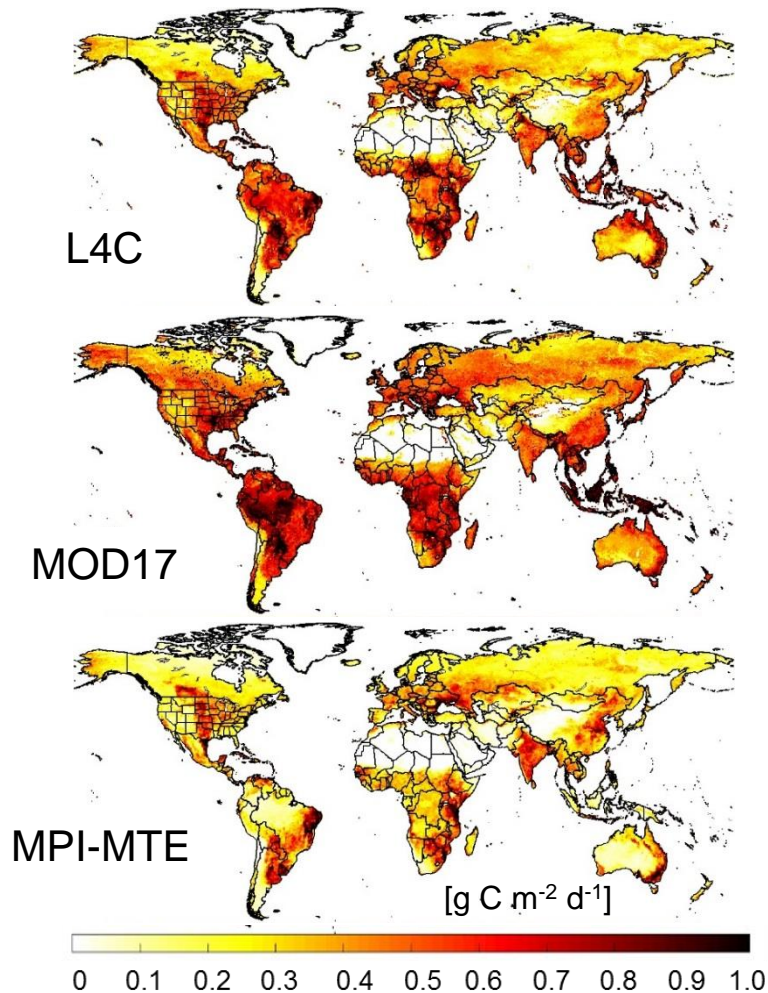


NEE Comparison with CarbonTracker & MPI-MTE



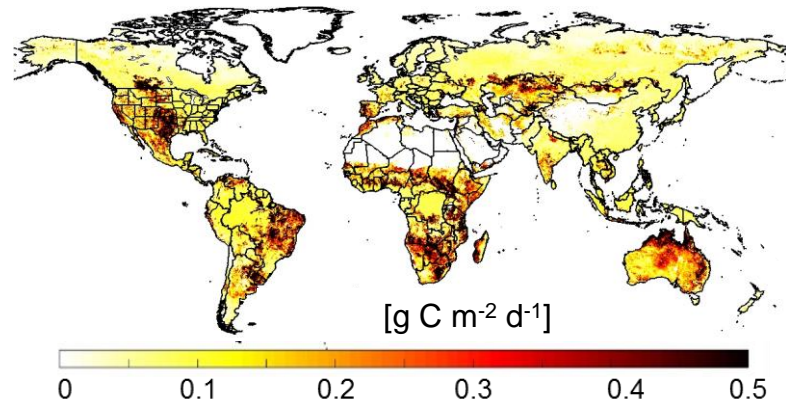
SMAP Influences L4C in Semi-Arid Regions

Daily GPP Anomaly Stdev.



Impact of SMAP Obs on L4C GPP

(L4C using L4SM vs. NatureRun RMS Difference)

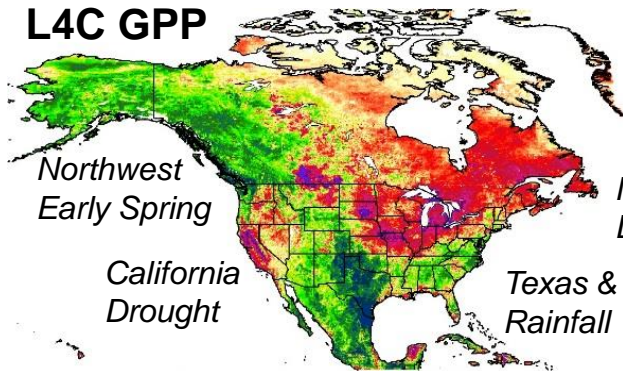


- L4C regions of largest inter-annual anomaly variance generally align with SMAP impact.
- Suggests potential for SMAP to inform interannual variability.
- More SMAP influence in China following upcoming L4SM version release.

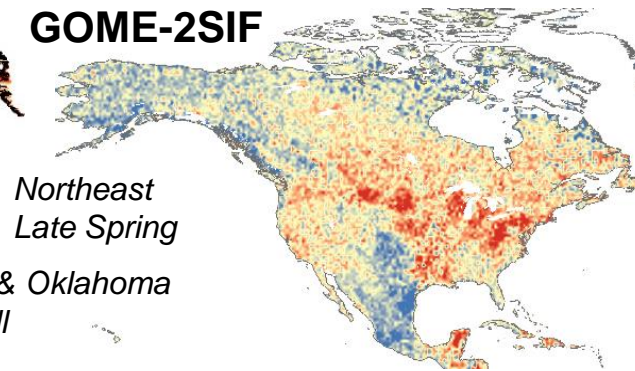
North America Anomalies (2015 & 2016)

May & June 2015 Anomaly

L4C GPP

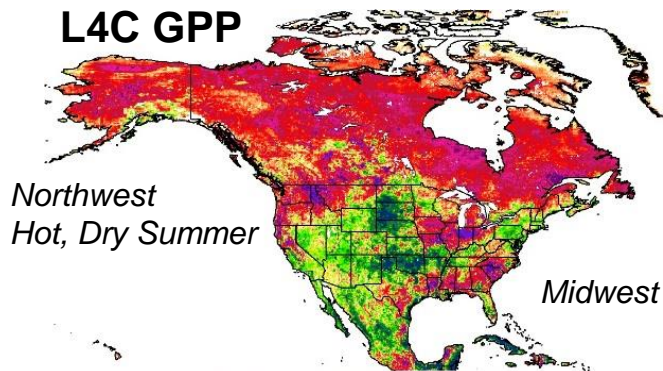


GOME-2SIF

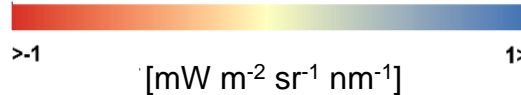
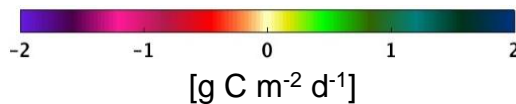
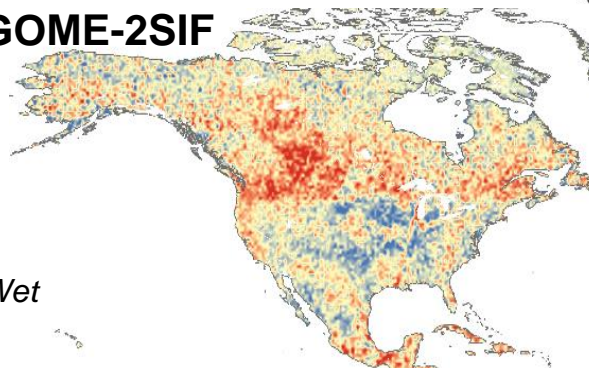


July & August 2015 Anomaly

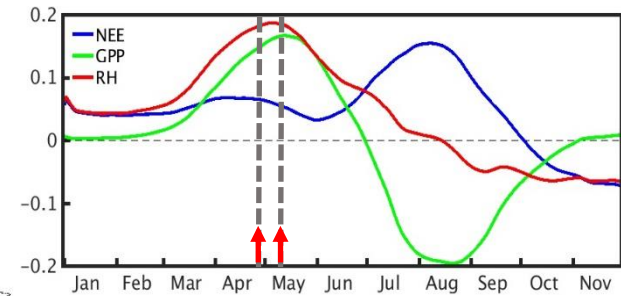
L4C GPP



GOME-2SIF



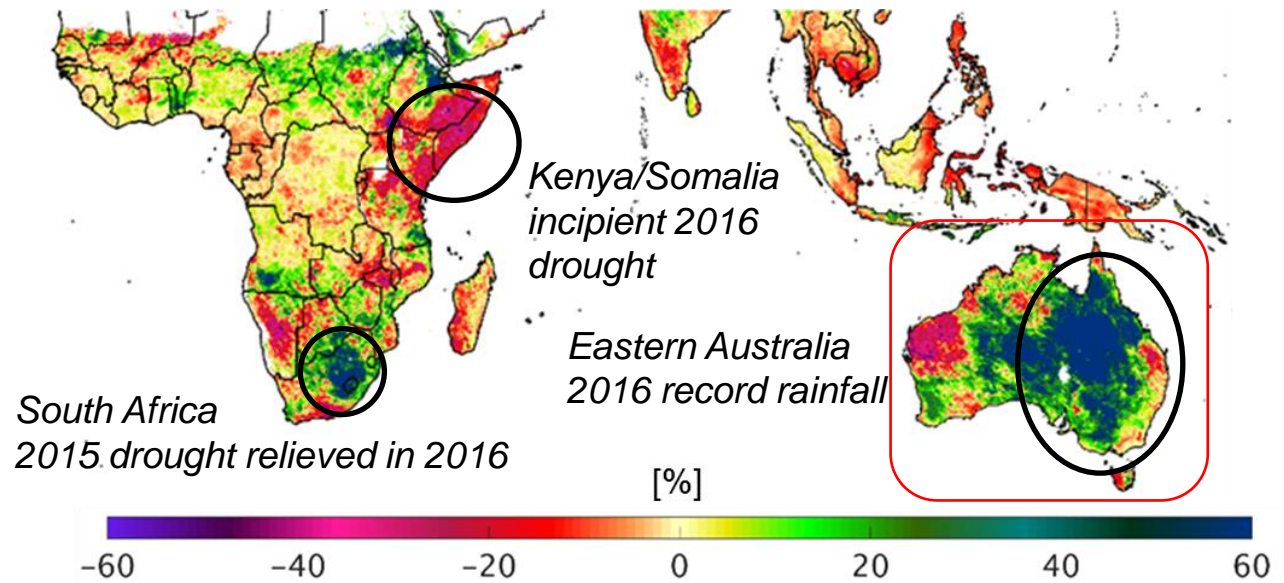
NASA ABoVE Region L4C Seasonal Flux Anomaly (2016)



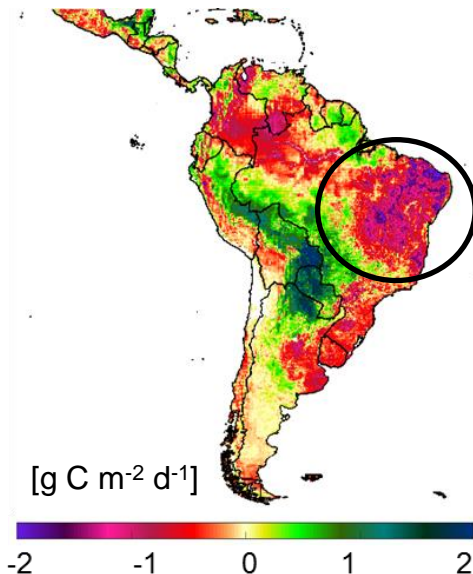
Typical Thaw: May 10
2016 Thaw : April 29 (11 days early)

Southern Hemisphere Anomalies (2015 & 2016)

L4C GPP change (%) from 2015 to 2016 (April-Dec.)



L4C GPP anomaly 2016 (April-Dec.)

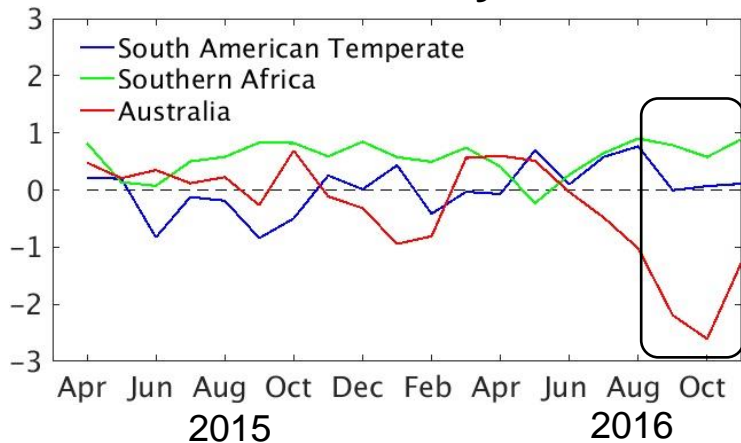


Brazil drought 2015-2016

- L4C anomalies respond to extreme conditions (drought and rainfall) highlighted in recent news headlines.
- Following slides focus on Australia ...

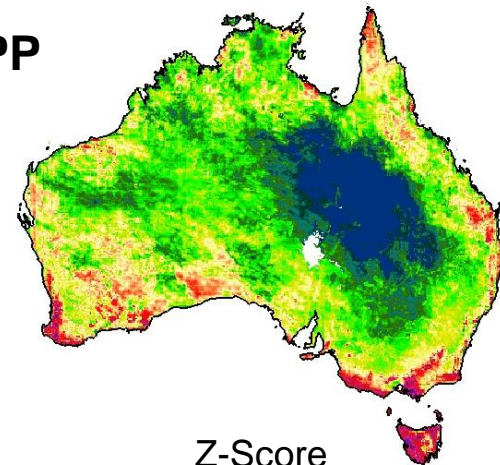
Australia Rainfall Anomaly (Sep. – Nov. 2016)

L4C NEE Monthly Z-Score



L4C GPP

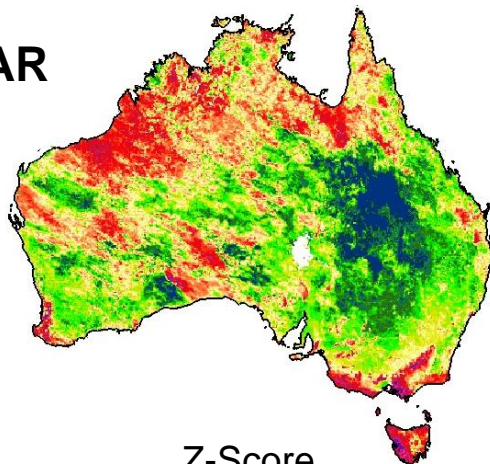
Increased CO₂ Uptake (Sept – Nov 2016)



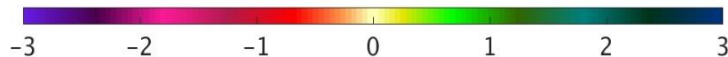
Z-Score



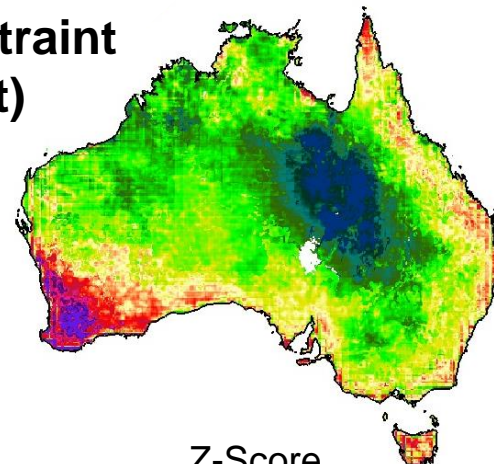
APAR



Z-Score



LUE Constraint (Emult)

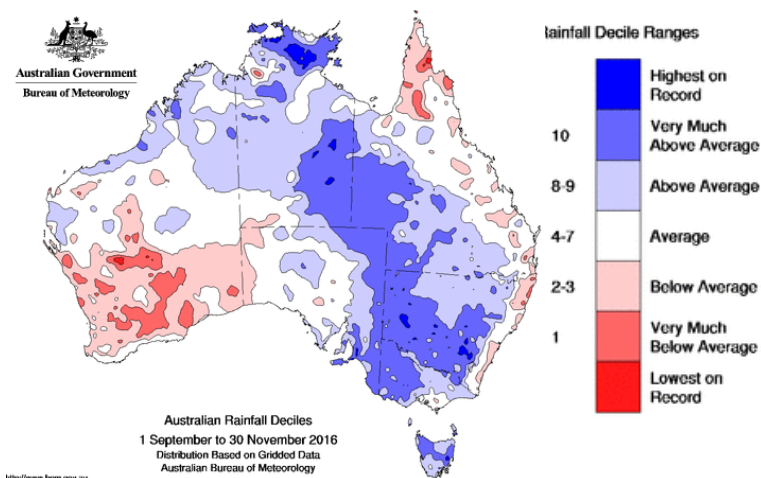


Z-Score

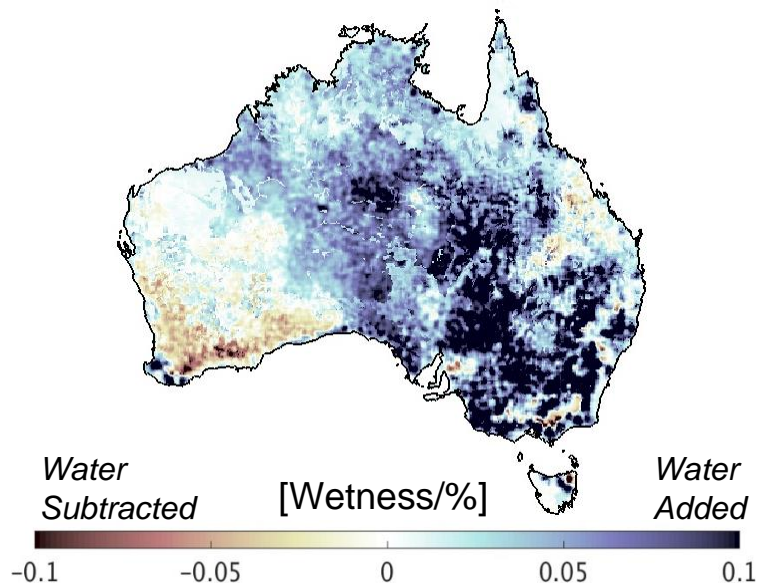


Australia L4SM Perspective

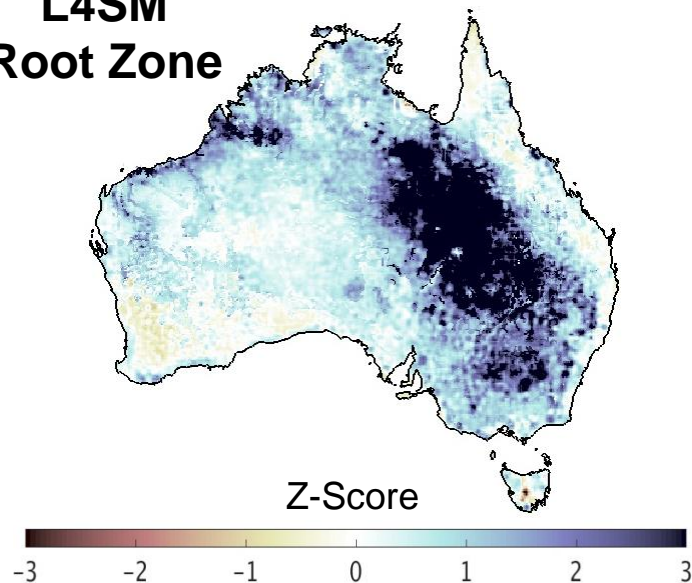
- L4C anomaly driven by increased greenness and relaxed soil moisture constraint.
- SMAP observations added water to L4SM root zone analysis strengthening the wet anomaly.



SMAP SM Contribution



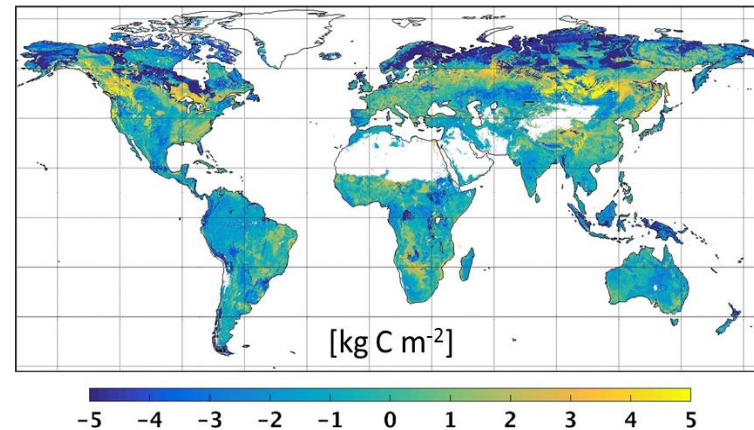
L4SM Root Zone



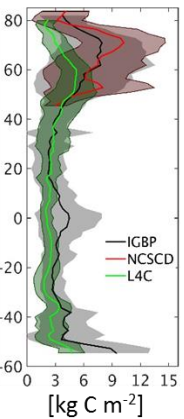
L4C Caveats

- NEE source/sink results depends on SOC initialization.
- Soil moisture and other input data have biases.
- Representation error.
- Extrapolation error.
- Model structure and optimization.

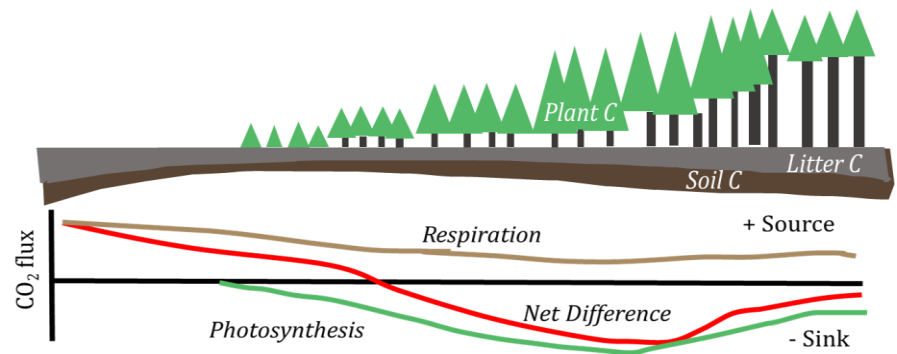
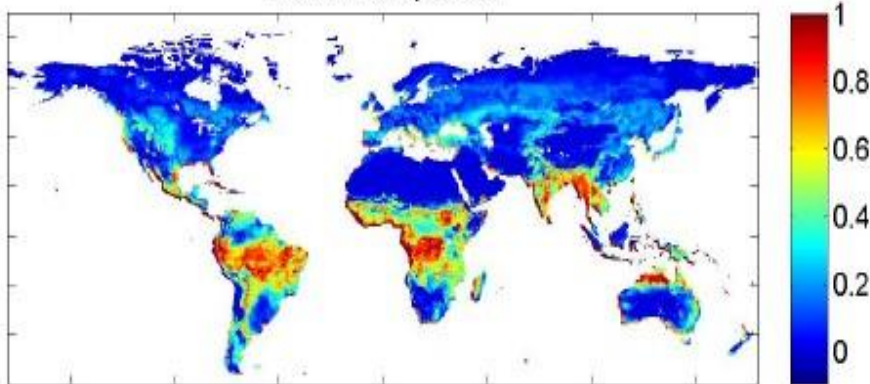
Surface SOC Difference (L4C – IGBP)



Mean SOC



Index of Extrapolation

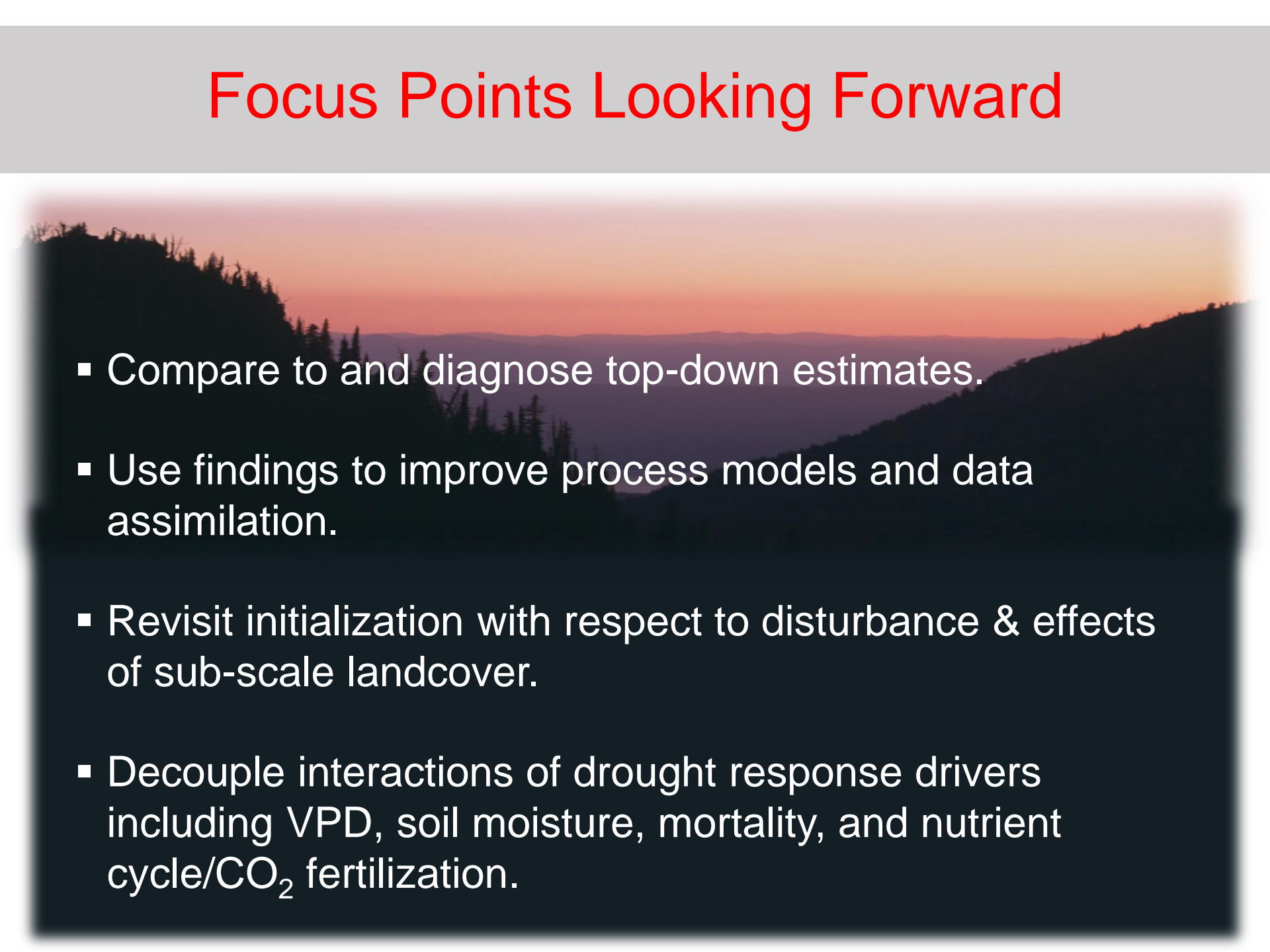


From M. Jung et al. 2009. *Biogeosci.* 6, 2001-13.

Summary & Conclusion

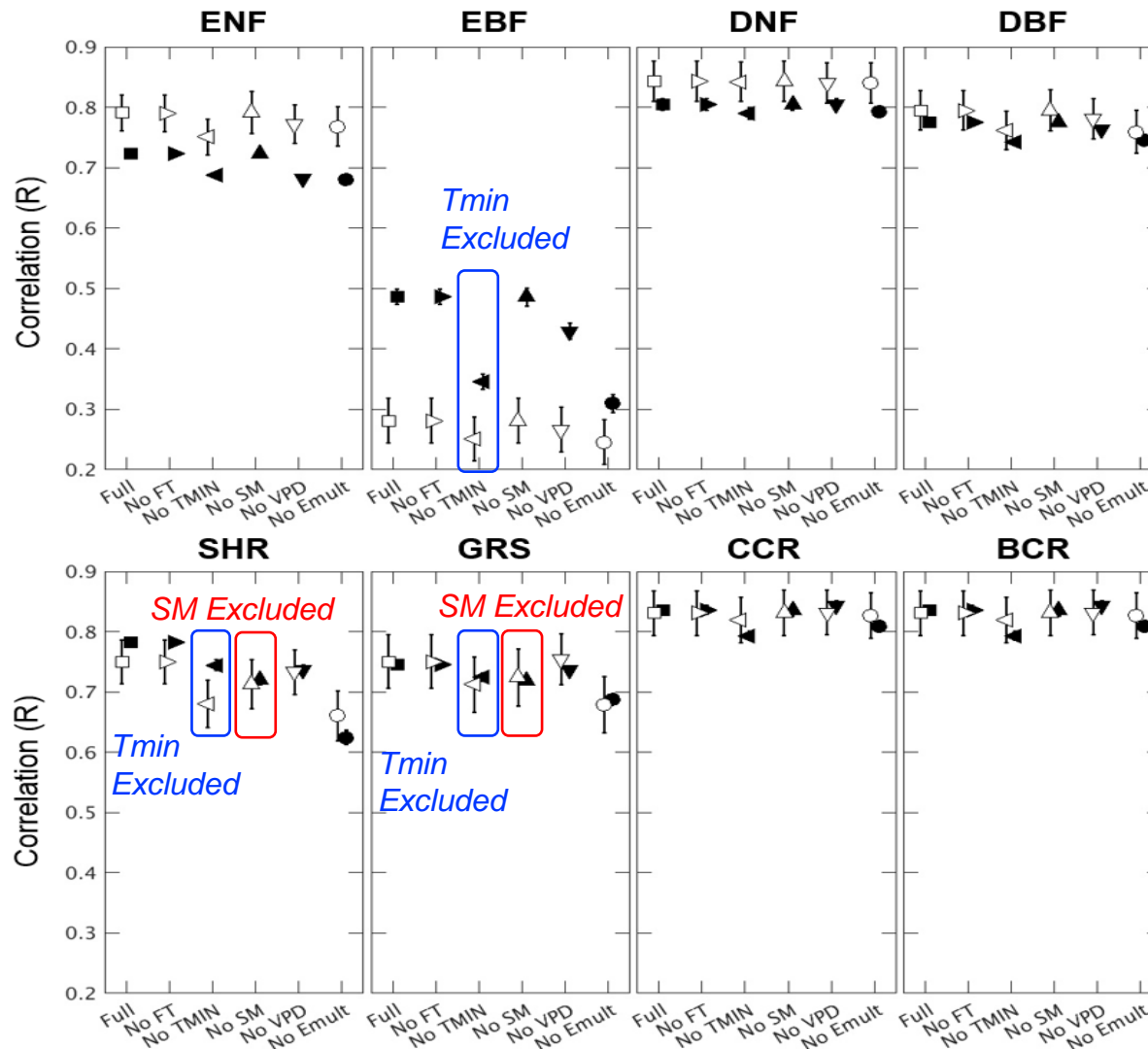
- L4C dataset available at NSIDC (updates for MODIS Collection 6 to be released mid-April): <http://nsidc.org/data/SPL4CMDL>
- L4C Provides a new moisture-constraint perspective relative to other global datasets.
- SMAP soil moisture incremental information content is highest in arid and semi-arid regions.
- These regions coincide where soil moisture controls L4C NEE variability.
- SMAP value not yet clearly quantifiable – longer time series required.

Focus Points Looking Forward

- 
- Compare to and diagnose top-down estimates.
 - Use findings to improve process models and data assimilation.
 - Revisit initialization with respect to disturbance & effects of sub-scale landcover.
 - Decouple interactions of drought response drivers including VPD, soil moisture, mortality, and nutrient cycle/CO₂ fertilization.

Extra Slides

GPP Constraint Exclusion Experiment By Landcover



Pooled Correlation (Closed Symbols)

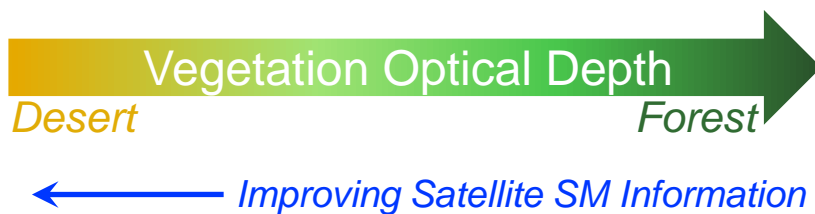
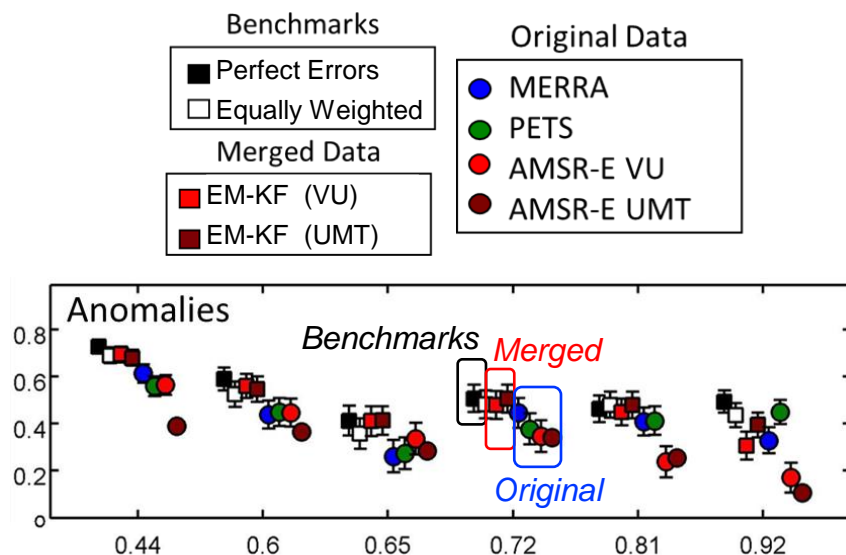
- Includes spatial patterns/biases.
- Closely aligned with fitting procedure cost function.

Average Correlation (Open Symbols)

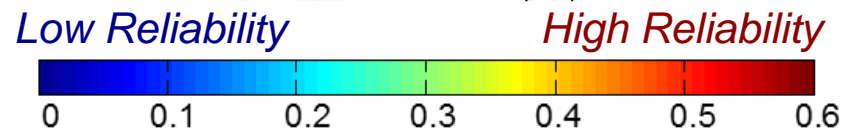
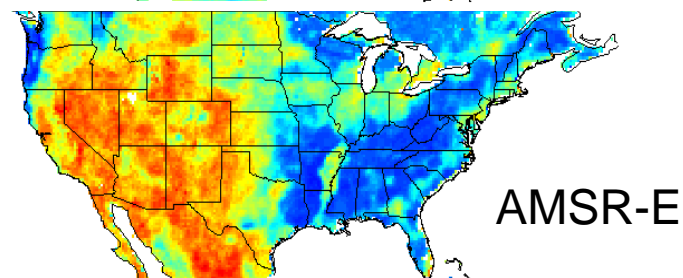
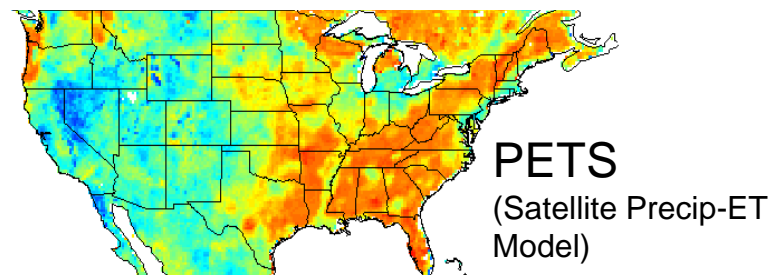
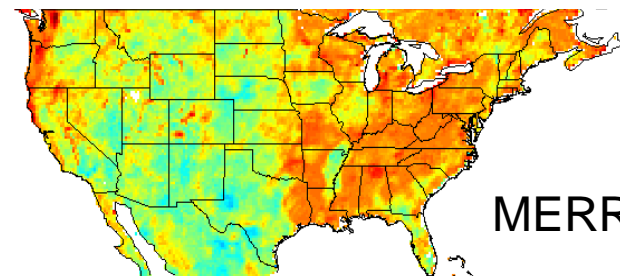
- No spatial information
- Typical values for an individual site

Merging Soil Moisture Datasets (Pre-SMAP)

Correlation vs. In Situ Soil Moisture

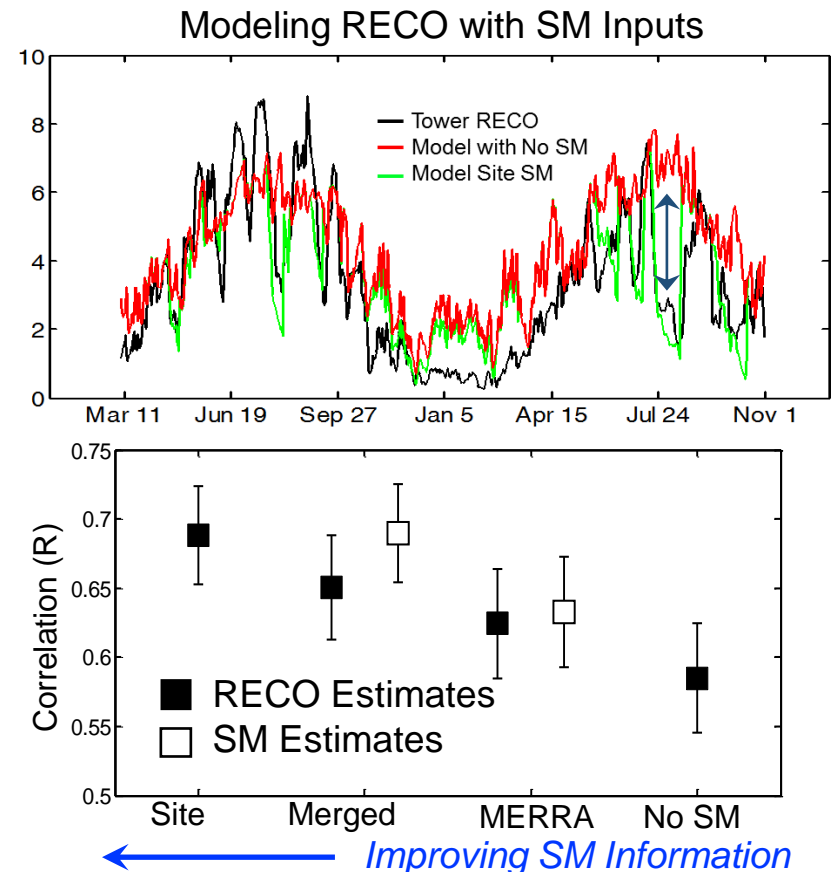
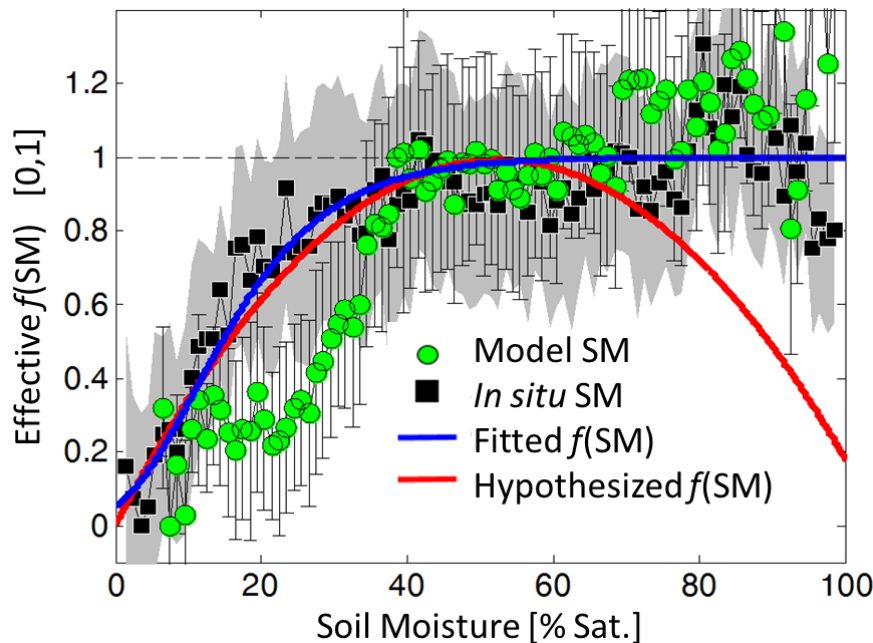


Merging Weights [0,1]



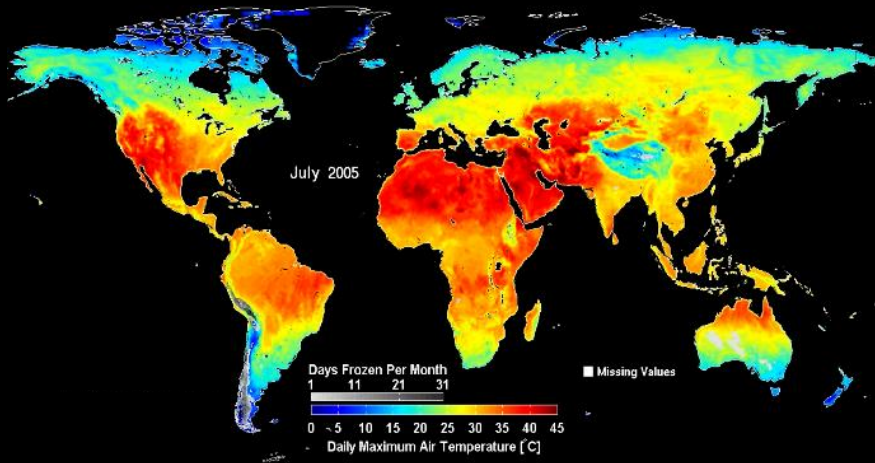
Estimating Ecosystem and Soil Heterotrophic Respiration (Pre-SMAP)

$$RECO = \underbrace{f_{aut} GPP}_{\text{Autotrophic}} + \underbrace{f(TSOIL) f(SM) k_{max} SOC}_{\text{Heterotrophic Normalizing Term}}$$

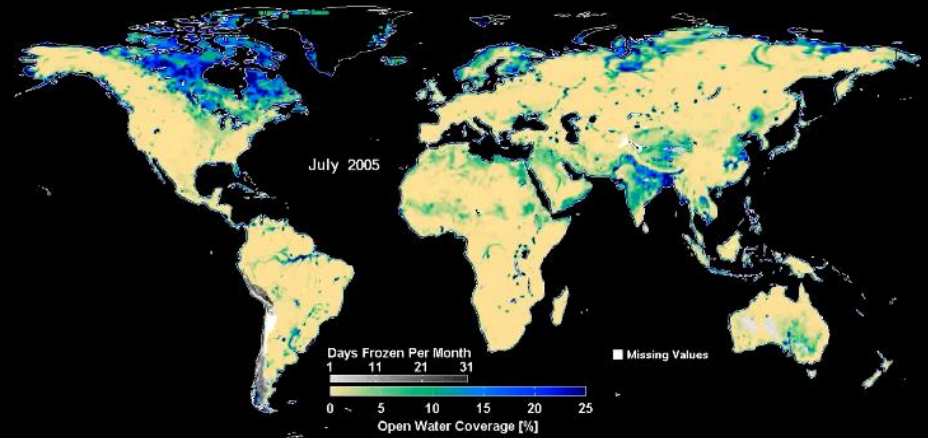


Monitoring the Biosphere with Microwave Land Observations

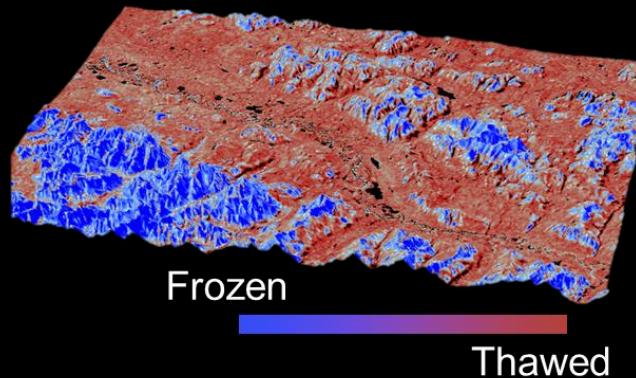
Soil, Surface, and Air Temperatures



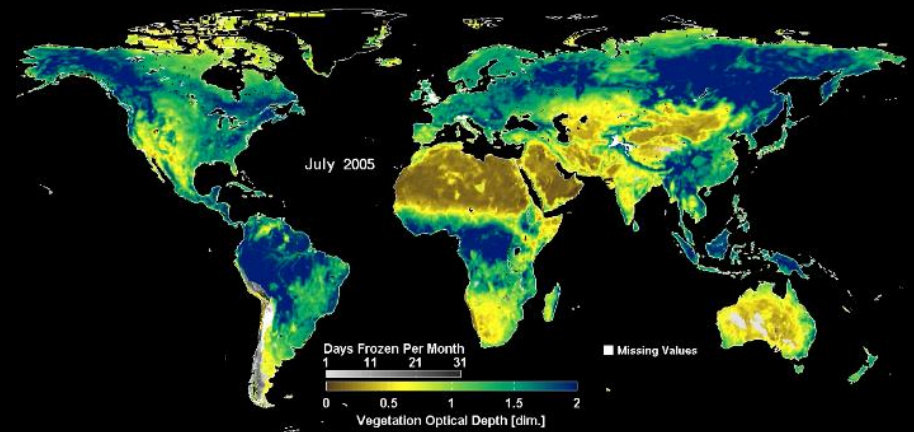
Open Water Fractional Coverage



Freeze-Thaw State (non-binary)

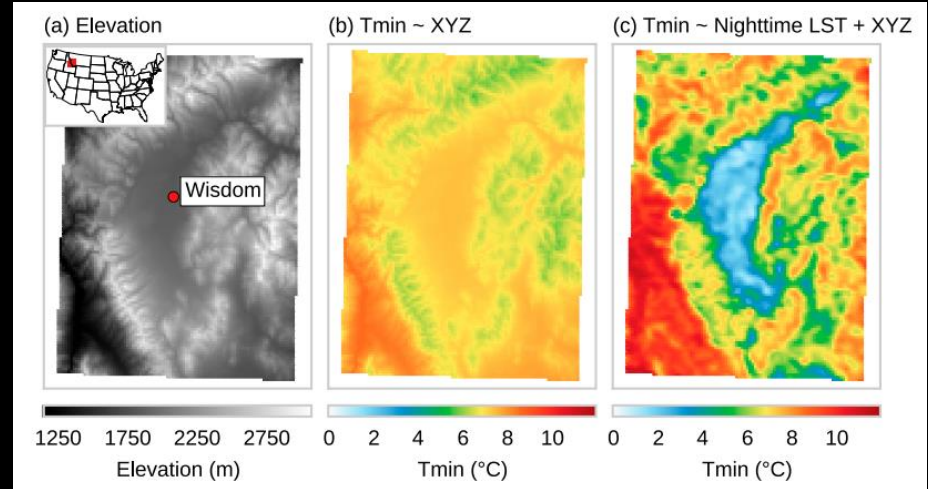


Vegetation Optical Depth



Fine-Scale Surface Meteorology from MODIS

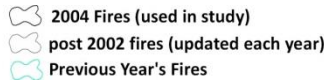
High Elevation Big Hole Valley, MT




Oyler, J.W., S.Z. Dobrowski, Z.A. Holden, and S.W. Running (2016), Remotely sensed land skin temperature as a spatial predictor of air temperature across the conterminous United States. *J. Appl. Meteorol. Climatol.*, <http://dx.doi.org/10.1175/JAMC-D-15-0276.1>.

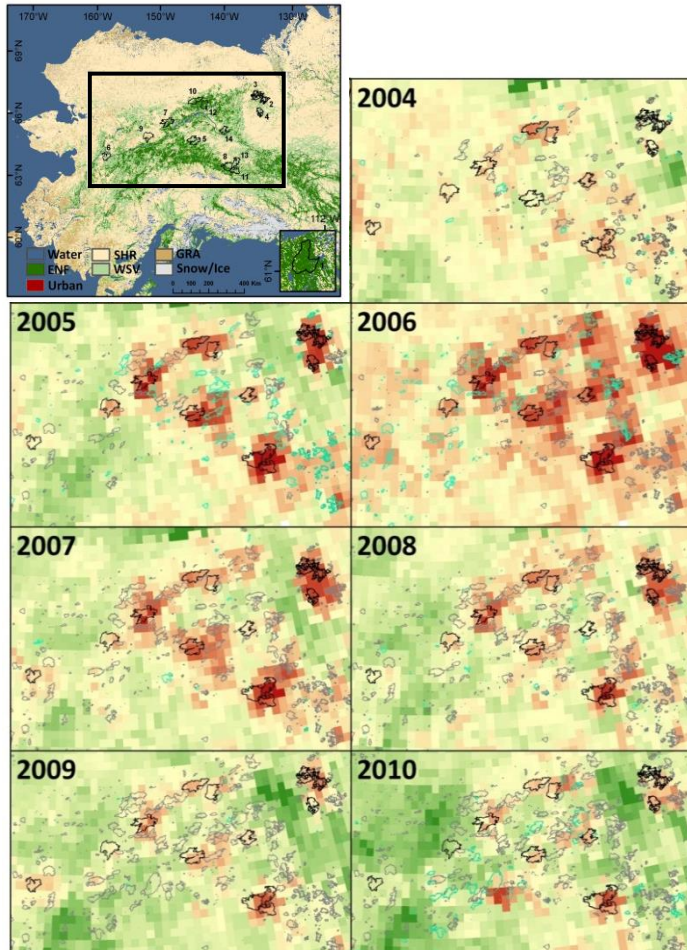
- MODIS LST climatology was a significant unique predictor of station daily minimum temperature.
- Potential for improving LUE model estimates.
- Used in TopoWx 1-km daily meteorology dataset for CONUS:
<https://github.com/jaredwo/topowx>

A Closer Look at Vegetation Optical Depth

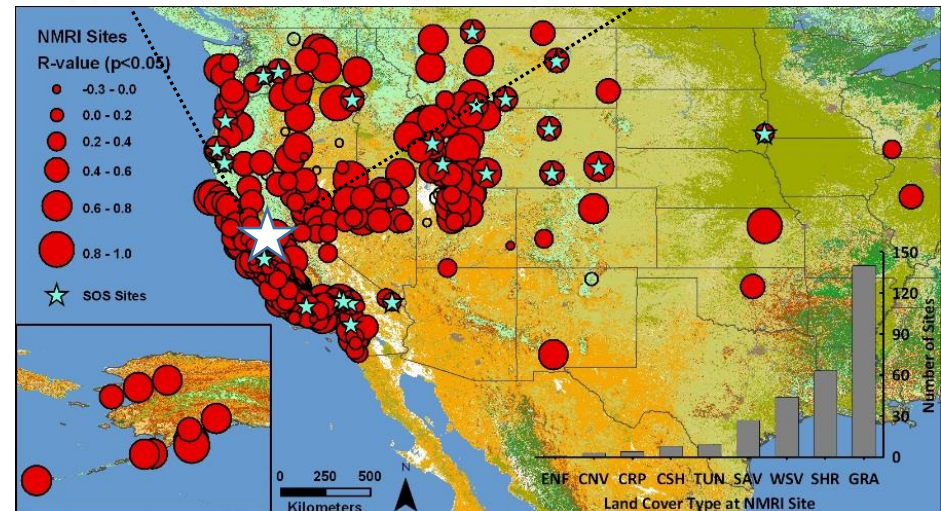
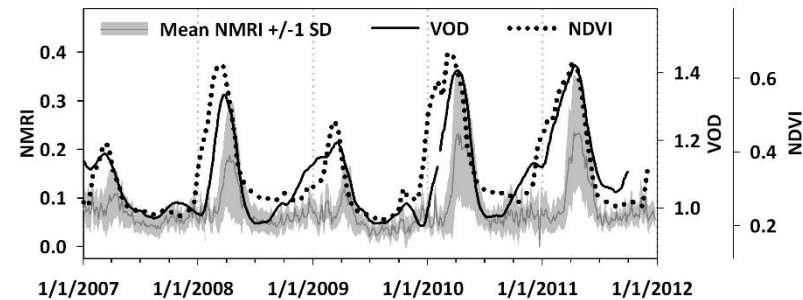

 2004 Fires (used in study)
 post 2002 fires (updated each year)
 Previous Year's Fires

VOD Yearly Maximum
 as difference from 2003
 reference year maximum


 0.4
 -0.4



- Tracks boreal forest fire recovery
- Validated with GPS ground station “Multi-path” retrievals

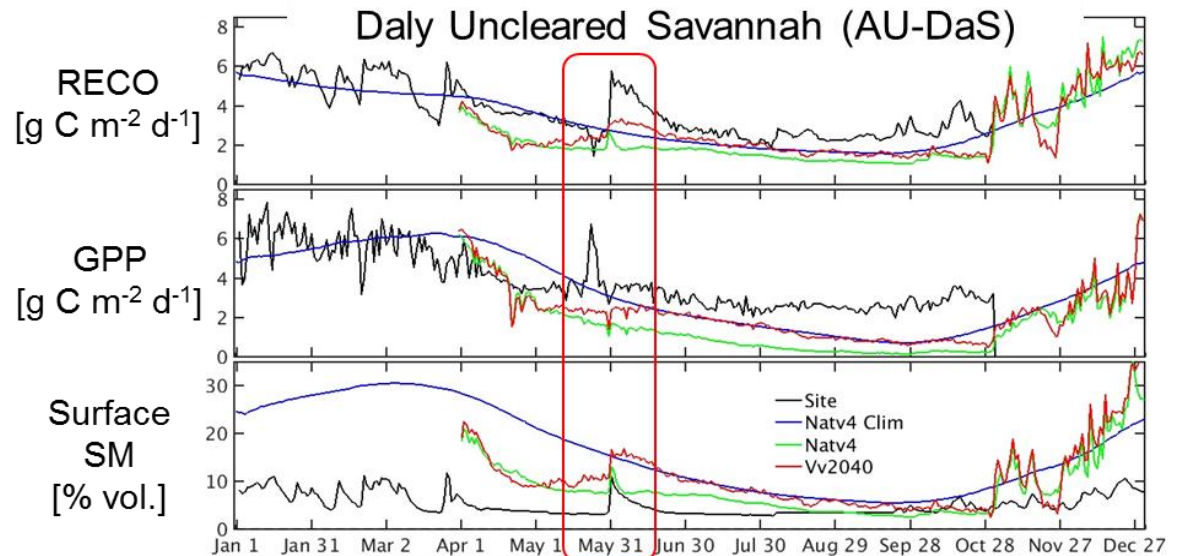
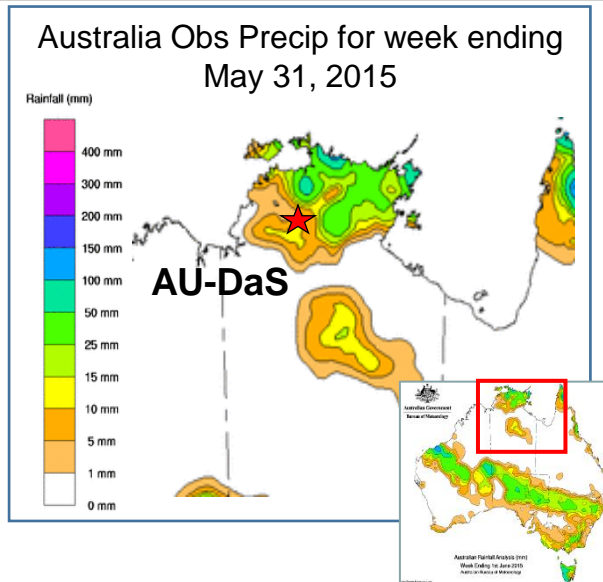


Jones, M.O., et al. 2013 *Global Change Biology*, 19:3111-22

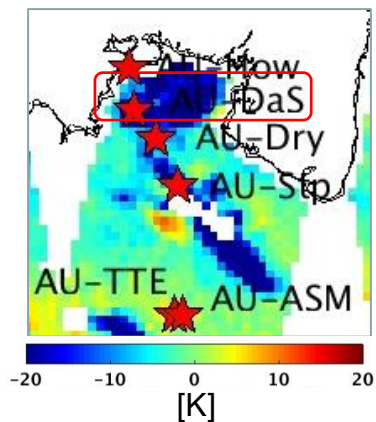
Jones, M.O., 2012, *Rem. Sens. Environ.* 123: 324-33.

Jones, M.O., 2014, *Int. J. Biomet.* 58: 13605-15.

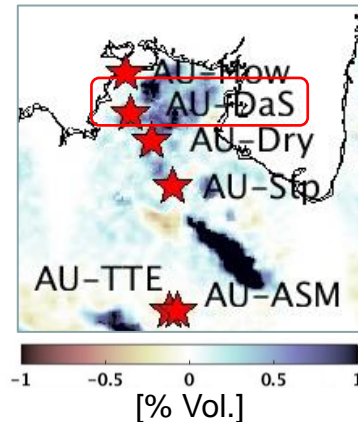
Tracking a Single Rain Event (Australia)



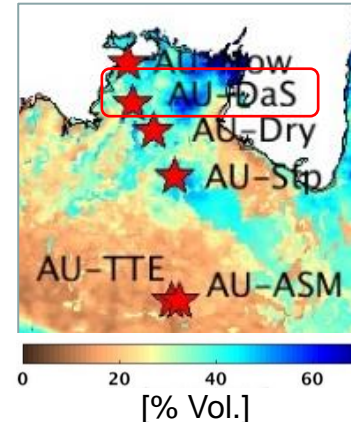
Model TbH - SMAP TbH
May 31



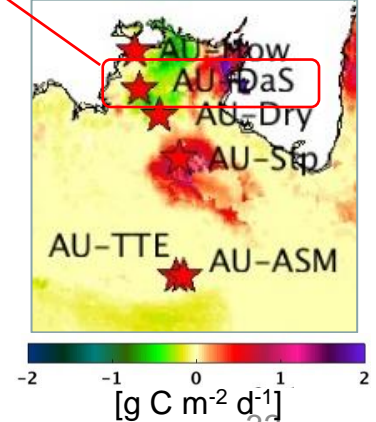
SM Analysis – SM Forecast
May 31

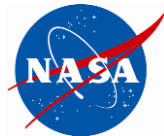


SM Analysis
May 31



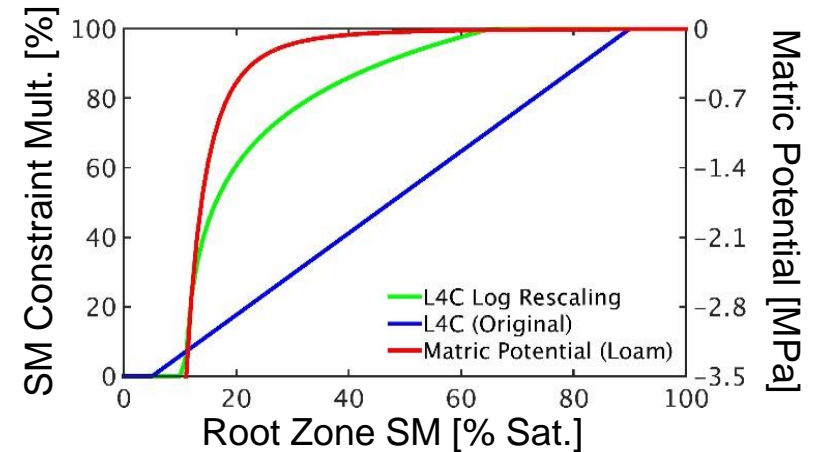
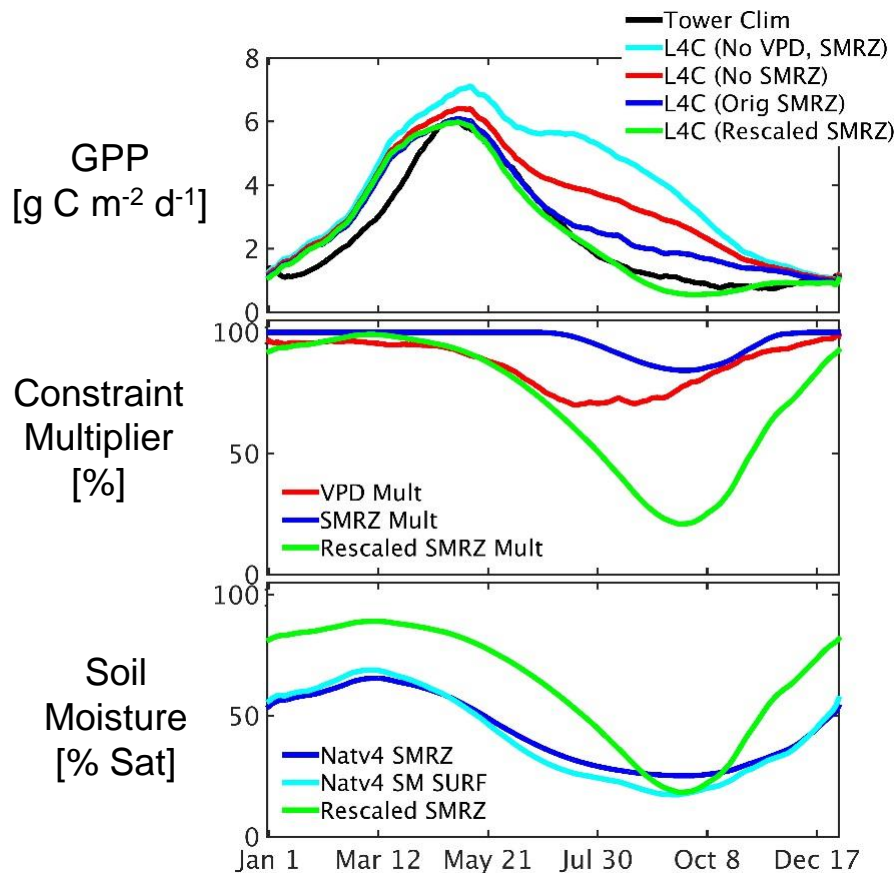
ΔRH
May 31-May 30





L4_C Root Zone SM Rescaling

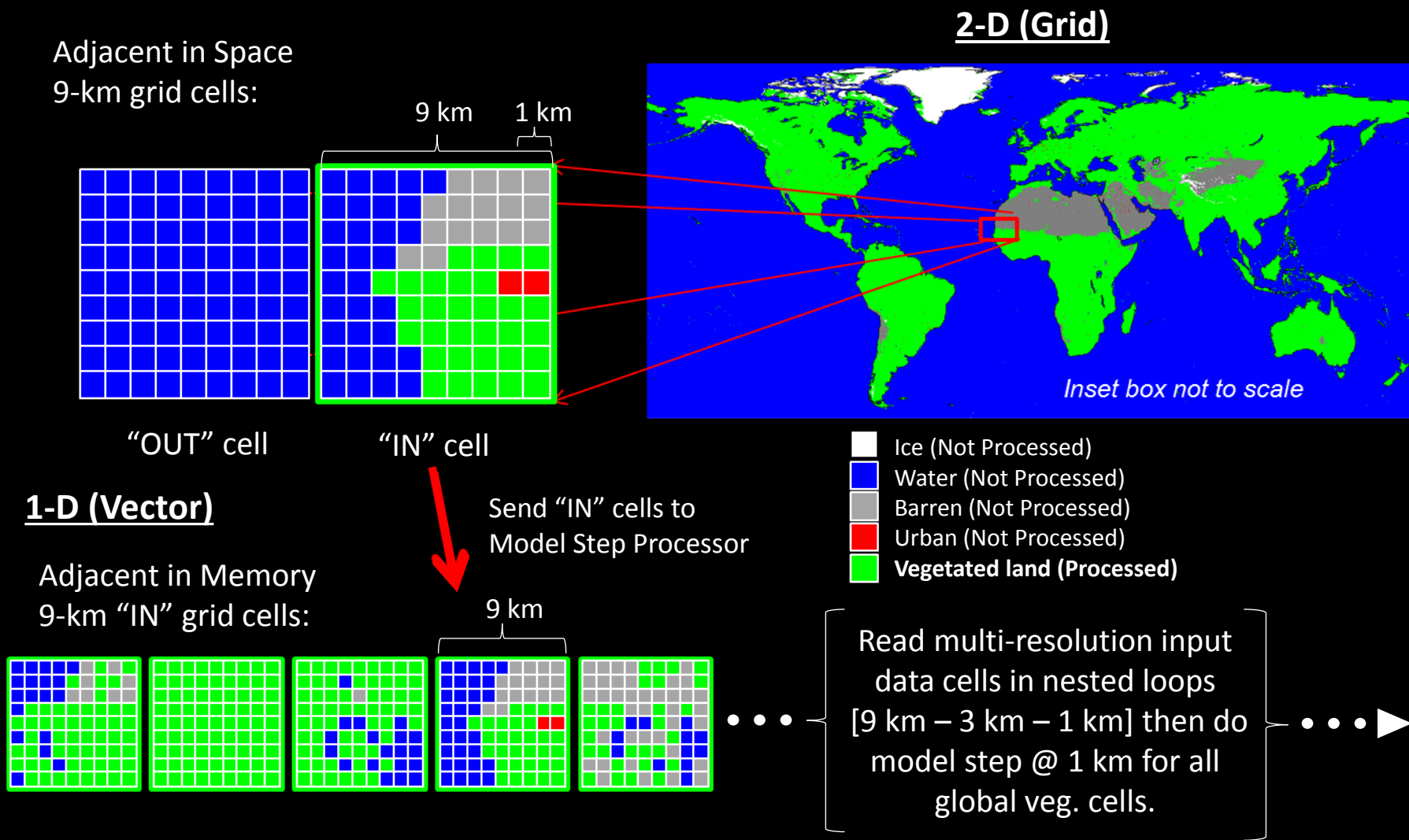
Rescaling of root zone soil moisture improves L4C agreement with observations



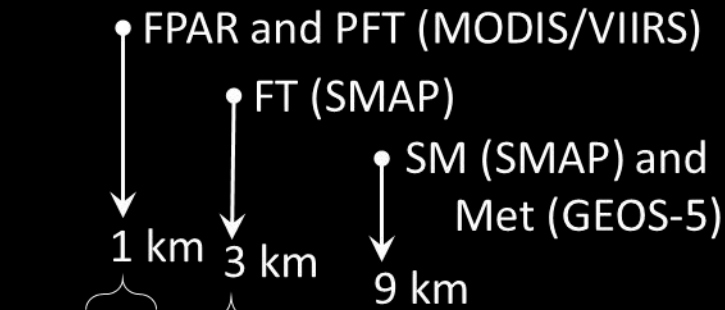
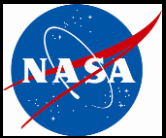
$$\theta_{\ln} = \ln \left(\frac{\theta_{sat} - \theta_{wpsat}}{100 - \theta_{wpsat}} * 100 \right)$$

$$\theta_{rescaled} = \frac{\ln(\theta_{\ln})}{\ln(100)} * 95 + 5$$

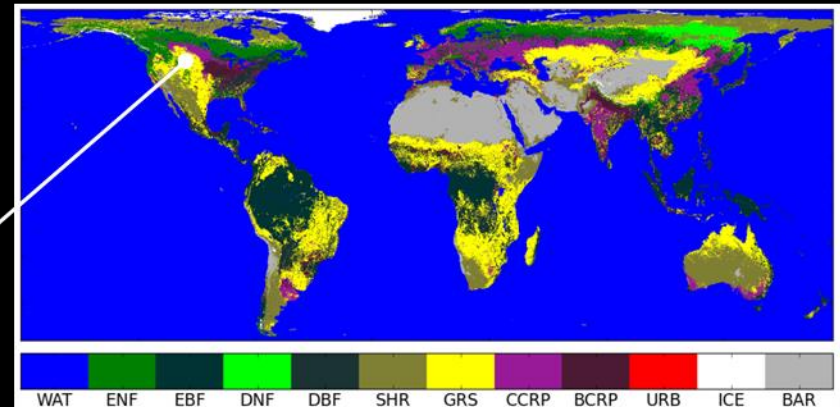
L4_C Land Mask and Vectorization Procedure



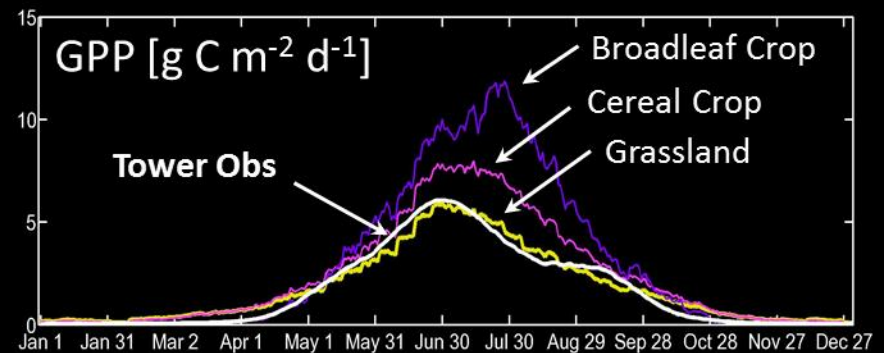
Nested-resolution ¹EASE Grid v2 Processing



MODIS (MCD12Q1) 1 km EG v2
Plant Functional Type Classification



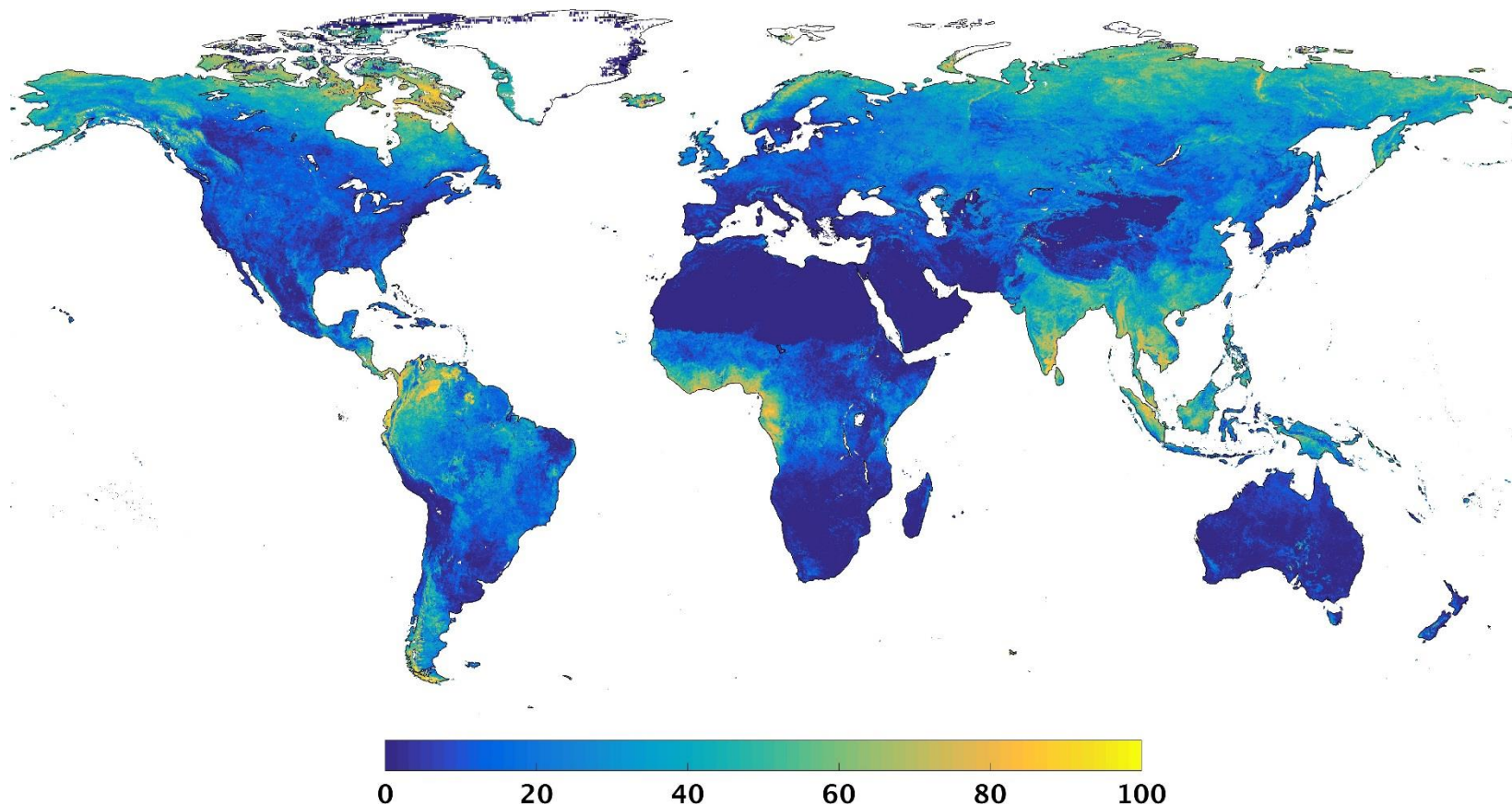
L4C Output 9km x PFT



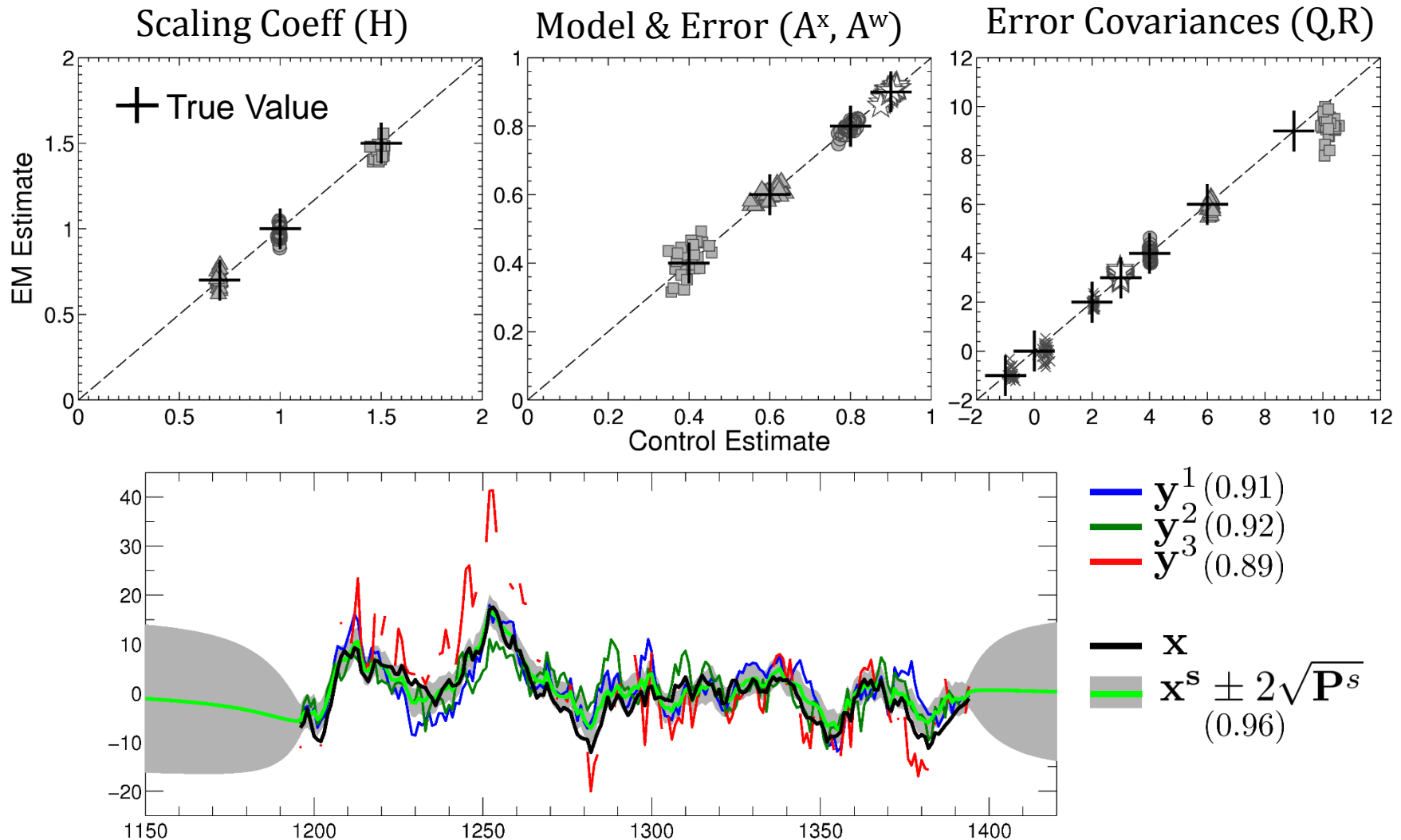
¹Brodzik, et al., ISPRS Int. J. Geo-Inf. 2012, 1, 1, 32-45; doi:10.3390/ijgi1010032

FPAR Climatology Replacement

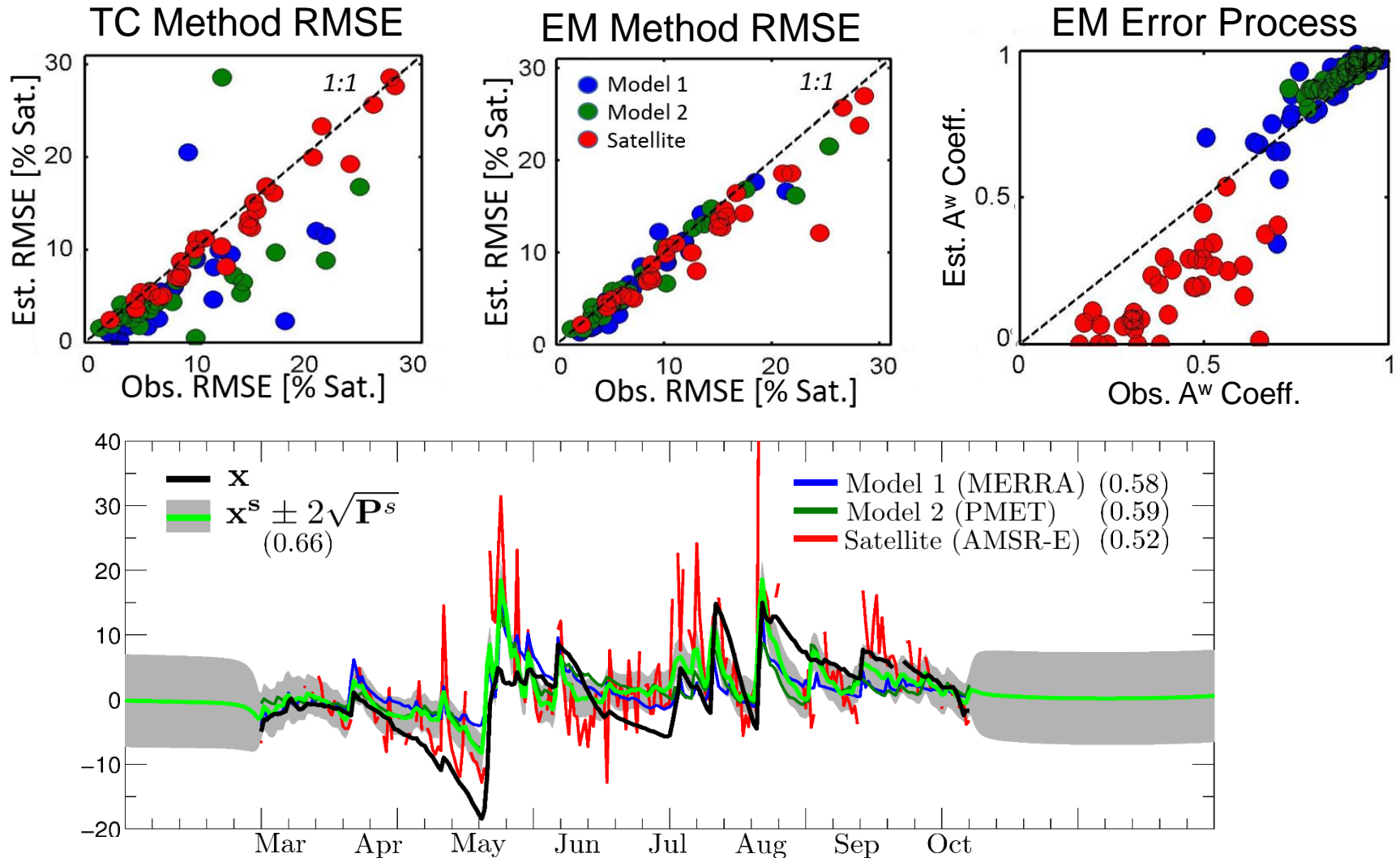
Percentage of Grid Cells with > 50 % FPAR Clim Fill
(L4_C Vb1010 April 13-Dec 31, 2015)



EM & Kalman Smoother Simulation Results

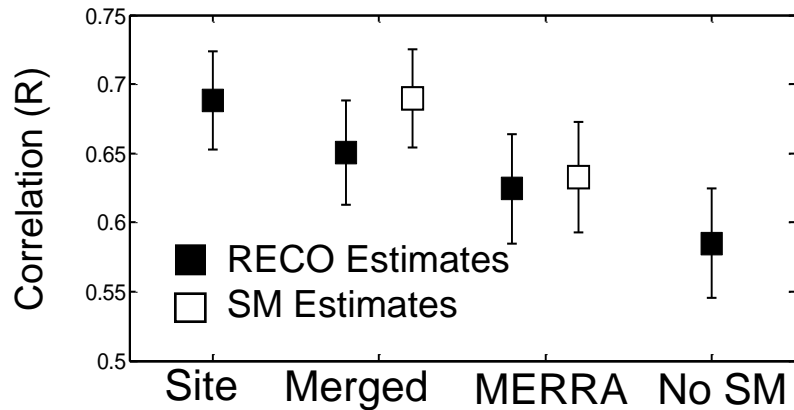


EM & Kalman Smoother Soil Moisture Results

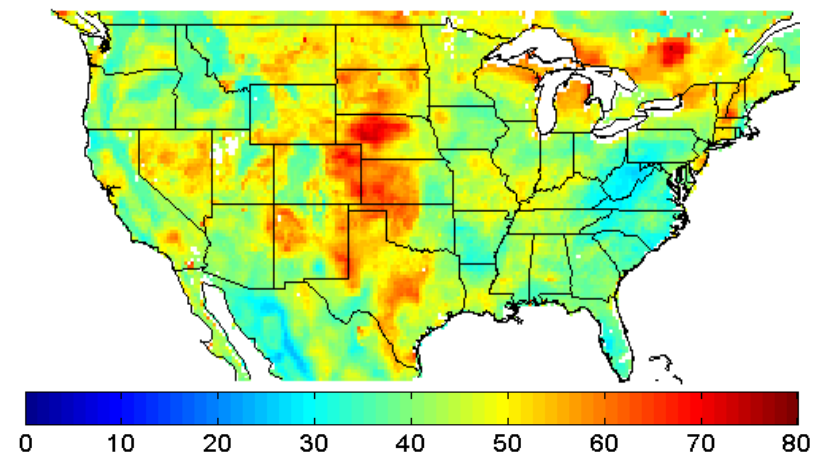


Evaluating Soil Moisture Information for Ecosystem Respiration

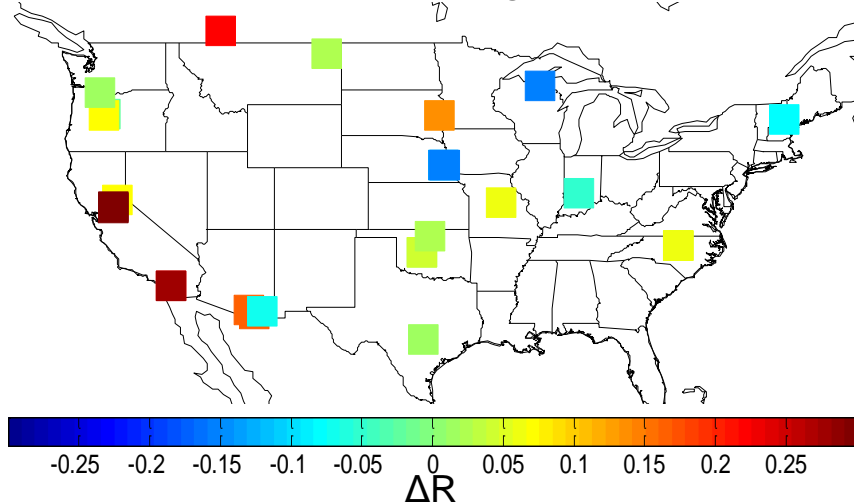
Overall RECO Improvement



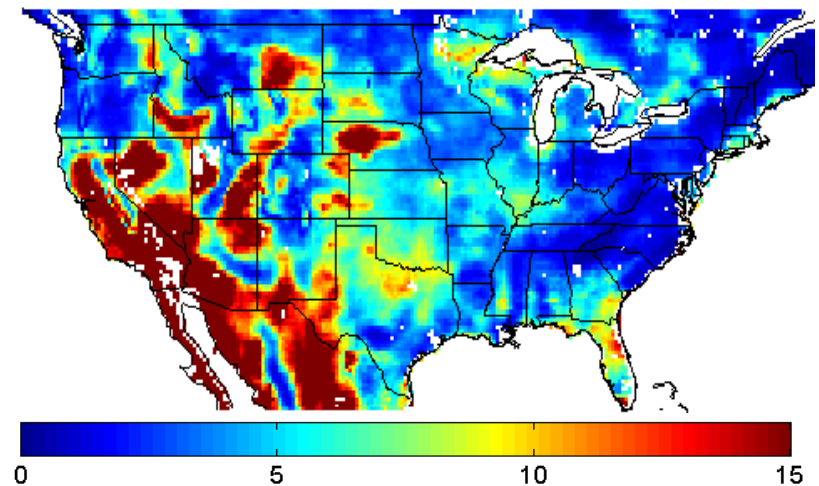
Merged SM RMSE Improvement [%]



RECO Improvement (Merged SM) – (No SM)

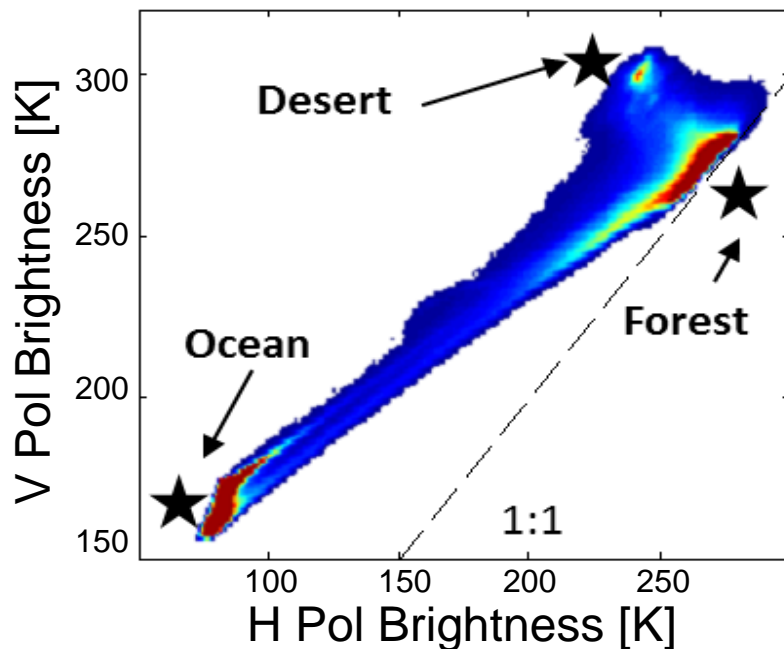


RECO RMSE Improvement [%]



Separating Temperature and Emissivity

Brightness Observations:



Emissivity Model:

